

THE NUMBER OF PRIME FACTORS OF BINOMIAL COEFFICIENTS

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Given an arbitrarily large integer A , is there an n such that all the numbers in the n th row of the Pascal triangle (except the 1's) have at least A distinct prime factors? That is, can we find an n such that $\omega\left(\binom{n}{r}\right) \geq A$ for $1 \leq r \leq n-1$? This question arose in connexion with a problem in group theory being studied by two colleagues in Cardiff, and they wondered whether n could be chosen to be the product of the first A primes. This turns out to be the case: trivially it is necessary to choose n with $\omega(n) \geq A$, and this is also sufficient, as follows.

THEOREM 1. $\omega\left(\binom{n}{r}\right) \geq \omega(n)$ for $1 \leq r \leq n-1$.

Proof. The basis of the proof is Legendre's result that if p^a is a prime power dividing $\binom{n}{r}$ then $p^a \leq n$. Because of the symmetry of the Pascal triangle we can assume that $r \leq \frac{1}{2}n$ from now on.

Case 1: r is large. By Legendre's result

$$\begin{aligned} \omega\left(\binom{n}{r}\right) &\geq \log \binom{n}{r} / \log n \\ &\geq r \left(1 - \frac{\log r}{\log n}\right) \quad \left(\text{using the fact that } \binom{n}{r} \geq \left(\frac{n}{r}\right)^r\right) \\ &> \omega(n) - 1 \quad \text{for large } r. \end{aligned}$$

In fact it is not hard to show that the last inequality holds whenever $r \geq \log n$.

Case 2: r is small. This case is dealt with similarly, but giving special treatment to the prime factors of n that are bigger than r . There are

$$\geq \omega(n) - \pi(r)$$

of these prime factors (where $\pi(r)$ is the number of primes $\leq r$) and, since

$$\binom{n}{r} = \frac{n(n-1)\dots(n-r+1)}{1\cdot 2\cdot \dots\cdot r},$$

each of them divides $\binom{n}{r}$ to the same power that it divides n . (It divides the first term of the numerator and no other, and divides no term of the denominator.)

The contribution of these prime powers to $\log \binom{n}{r}$ is thus at most $\log n$.

Applying Legendre's result to the remaining prime factors of $\binom{n}{r}$ now shows that there are

$$\geq \left(\log \binom{n}{r} - \log n \right) / \log n$$

of them, and hence

$$\omega \left(\binom{n}{r} \right) \geq r \left(1 - \frac{\log r}{\log n} \right) - 1 + \omega(n) - \pi(r).$$

Thus

$$\omega \left(\binom{n}{r} \right) - \omega(n) \geq r \left(1 - \frac{\log r}{\log n} \right) - \pi(r) - 1,$$

and it is not hard to show that the right hand side is greater than -1 for $4 \leq r \leq \log n$.

The cases $r = 2$ and 3 are easily dealt with separately, completing the proof of the theorem.

This result can be expressed as

$$\omega \left(\binom{n}{r} \right) \geq \omega \left(\binom{n}{1} \right) \quad \text{for } 1 \leq r \leq n/2,$$

which suggests the question whether $\omega \left(\binom{n}{r} \right)$ is an increasing function of r for r in this range. Erdős pointed out that the answer is 'no', since $\omega \left(\binom{n}{5} \right) > \omega \left(\binom{n}{6} \right)$ for $n = p + 5$, where p is any prime congruent to 1 or

65 (mod 72). More generally, for every r there are infinitely many n with

$$\omega\left(\binom{n}{r+1}\right) = \omega\left(\binom{n}{r}\right) - \omega(r+1) + 1.$$

This follows from the identity

$$\binom{n}{r+1} = \frac{n-r}{r+1} \binom{n}{r},$$

which shows that if n is chosen to satisfy certain congruences modulo powers of prime factors of $r+1$ then every such prime factor divides $\binom{n}{r}$ but not $\binom{n}{r+1}$. These congruences are consistent with making $n-r$ prime, which ensures that $\binom{n}{r+1}$ contains only one prime factor that does not divide $\binom{n}{r}$.

Erdős then asked whether $\omega\left(\binom{n}{r}\right)$ is an 'almost increasing' function of r in the range $1 \leq r \leq \frac{1}{2}n$, in the sense that for every r there is an $f(r)$ (depending only on r) such that

$$(1) \quad \omega\left(\binom{n}{r+t}\right) \geq \omega\left(\binom{n}{r}\right) \quad \forall t > f(r), \quad \forall n \geq 2(r+t).$$

Similarly, one could ask for a $g(r)$ such that

$$(2) \quad \omega\left(\binom{n}{r+t}\right) \geq \omega\left(\binom{n}{r}\right) - g(r) \quad \forall t \geq 0, \quad \forall n \geq 2(r+t).$$

We show that such functions $f(r)$ and $g(r)$ do exist and are $o(r)$, although the bounds we obtain for their sizes are probably a long way short of the truth. We also find the smallest possible values for these functions for $r \leq 10$. These results depend on three lemmas that are similar in form but differ markedly in their proofs. They overlap considerably, but none of them is a consequence of the others.

LEMMA 1. $\omega\left(\binom{n}{r+t}\right) - \omega\left(\binom{n}{r}\right) \geq t - 1 - \omega\left(\binom{r+t}{r}\right)$ for $t \geq 0$ and n sufficiently large as a function of r and t .

Proof. We use the identity

$$\binom{n}{r+t} / \binom{n}{r} = \binom{n-r}{t} / \binom{r+t}{t}.$$

A result of Thue ([5], Satz 12) (a consequence of his well known theorem on Diophantine approximation) says that there is only a finite number of pairs

of integers whose difference is bounded and all of whose prime factors are bounded in size. Hence, for large enough n , with at most one exception the numbers $n-r, n-r-1, \dots, n-r-t+1$ (that occur in the numerator of $\binom{n-r}{t}$) each have a prime factor greater than $r+t$. This gives at least $t-1$ primes that do not divide $\binom{n}{r}$ but do divide $\binom{n}{r+t}$ (since they divide the numerator on the right of the above identity but not the denominator). On the other hand, the identity shows that there are at most $\omega\left(\binom{r+t}{t}\right)$ primes that divide $\binom{n}{r}$ but not $\binom{n}{r+t}$.

Although the remaining two lemmas are similar in form to Lemma 1, their proofs are entirely elementary and do not use Thue's theorem or anything similar.

In what follows, $s = r+t$.

LEMMA 2.
$$\omega\left(\binom{n}{r+t}\right) - \omega\left(\binom{n}{r}\right) \geq \log \left\{ (s!)^* \binom{n}{r+t} / \binom{n}{r} \right\} / \log n - \pi(r+t),$$

where

$$(s!)^* = \prod_{p \leq s} p$$

is the square-free kernel of $s!$.

The first term on the right hand side tends to t as $n \rightarrow \infty$, so we have

COROLLARY.
$$\omega\left(\binom{n}{r+t}\right) - \omega\left(\binom{n}{r}\right) \geq t - \pi(r+t) \text{ for } t \geq 0 \text{ and } n \text{ sufficiently}$$

large as a function of r and t .

For most r and t this corollary is weaker than Lemma 1, but for some r and t the right hand side is larger by 1 than the right hand side of Lemma 1, and this is occasionally useful.

Proof of Lemma 2. The proof is on the same lines as Case 2 of Theorem 1, in that the prime factors of $\binom{n}{r}$ and $\binom{n}{s}$ are divided into classes which are treated differently and Legendre's result is used.

For each prime p we denote the largest powers of p that divide $\binom{n}{r}$ and $\binom{n}{s}$, respectively, by p^q and p^σ , and we put $\tau = \sigma - q$. We need an upper bound for p^τ for each p . We divide the primes into classes as follows:

$S = \left\{ p \mid p \text{ divides } \binom{n}{s} \text{ but not } \binom{n}{r} \right\}$, for these we have $p^f = p^s \leq n$,
by Legendre;

$R = \left\{ p \mid p \text{ divides } \binom{n}{r} \text{ but not } \binom{n}{s} \right\}$, for these $p^f \leq 1/p$;

$U = \left\{ p \mid p \text{ divides } \binom{n}{r} \text{ and } \binom{n}{s}, \text{ and } p > s \right\}$, for these $p^f = 1$;

$V = \left\{ p \mid p \text{ divides } \binom{n}{r} \text{ and } \binom{n}{s}, \text{ and } p \leq s \right\}$, for these $p^f \leq n/p$,
by Legendre.

We now have

$$\binom{n}{s} / \binom{n}{r} = \prod_{S,R,U,V} p^f \leq n^{|S|+|V|} \prod_{R,V} \frac{1}{p}$$

and so

$$n^{|S|-|R|} \geq \binom{n}{s} \binom{n}{r}^{-1} \prod_{R,V} \frac{p}{n} \geq \binom{n}{s} \binom{n}{r}^{-1} \prod_{p \leq s} \frac{p}{n}.$$

The lemma follows on taking logarithms.

THEOREM 2. *The numbers $f(r)$ and $g(r)$ exist for all r and satisfy*

$$f(r) = O(r/\log^{1/2} r) \quad \text{and} \quad g(r) = O(r/\log^2 r).$$

Proof. The right hand side of Lemma 2 increases with n , and the smallest relevant value of n is $2s$. So replacing n by $2s$ in the right hand side gives

$$\begin{aligned} \left(\omega \left(\binom{2s}{s} \right) - \omega \left(\binom{2s}{r} \right) \right) \log 2s &\geq \log \binom{2s}{s} - \log \binom{2s}{r} + \sum_{p \leq s} (\log p - \log 2s) \\ &\geq \frac{t^2}{3s} + O \left(\frac{s}{\log s} \right), \end{aligned}$$

using Stirling's formula and the prime number theorem. This is positive for $t > C_1 r/\log^{1/2} r$ and is $> -C_2 r/\log r$ for all t , where C_1 and C_2 are certain constants.

The third lemma is proved in the same way as Lemma 2 except that the class V is subdivided and a different estimate for p^f is used for the primes in one of the parts. (We omit the details.)

LEMMA 3. $\omega \left(\binom{n}{r+t} \right) - \omega \left(\binom{n}{r} \right) \geq \log \left\{ \frac{(s!)^*}{(r!)} \binom{n}{r+t} / r^{\pi(r)} \binom{n}{r} \right\} / \log n -$

$-\omega\left(\frac{(r+t)!}{r!}\right) + \eta$, where η is 1 if t is a prime that divides s exactly once and is 0 otherwise.

On letting $n \rightarrow \infty$ we have the following corollary, which is always at least as strong as the corollary to Lemma 2.

COROLLARY. $\omega\left(\binom{n}{r+t}\right) - \omega\left(\binom{n}{r}\right) \geq t - \omega\left(\frac{(r+t)!}{r!}\right) + \eta$ for $t \geq 0$ and n sufficiently large as a function of r and t .

Using these three lemmas (the third in a slightly more general form than that given here) and a Commodore Pet microcomputer the smallest possible values of $f(r)$ and $g(r)$ for $r \leq 10$ were calculated. That it was possible to do this for so many r was a matter of luck in the way the figures turned out: there is no algorithm for calculating the minimal values of $f(r)$ and $g(r)$ for an arbitrarily given r , even in principle. The results were as follows.

r	1-3	4	5	6	7	8	9	10
$f(r)$	0	1	4	2	1	3	5	4
$g(r)$	0	1	1	1	1	1	1	2

Since $f(r) = 0$ for $r \leq 3$, we have

$$\omega\left(\binom{n}{s}\right) \geq \omega\left(\binom{n}{r}\right) \quad \text{for } r \leq 3 \text{ and } r \leq s \leq n-r,$$

improving on Theorem 1. The same is true for $r \leq 4$ with the single exception that

$$\omega\left(\binom{10}{5}\right) = 3 < \omega\left(\binom{10}{4}\right) = 4.$$

As an illustration, the value $f(10) = 4$ is caused by the fact that

$$\binom{28}{10} = 2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13 \cdot 19 \cdot 23$$

has eight distinct prime factors but

$$\binom{28}{14} = 2^3 \cdot 3^3 \cdot 5^2 \cdot 17 \cdot 19 \cdot 23$$

has only six.

To remove the effect of isolated exceptional binomial coefficients we can ask for functions $f_\infty(r)$ and $g_\infty(r)$ that satisfy (1) and (2) not necessarily for all $n \geq 2(r+t)$ but for all n that are sufficiently large in terms of r (t being

constrained not to exceed $\frac{1}{2}n-r$. In other words, for each r finitely many exceptional pairs (t, n) are allowed. Clearly $f_\infty(r)$ and $g_\infty(r)$ are bounded above by $f(r)$ and $g(r)$, and, in view of Lemma 1, $f_\infty(r)$ is bounded above by the largest t for which $\omega\left(\binom{r+t}{t}\right) \geq t$. Erdős and Selfridge [1] have given a simple proof that this largest t is $O((r/\log r)^{1/2})$ and pointed out that this estimate could be improved by using ideas introduced by Ramachandra in [3]. When this improvement is put into effect it gives the estimate $O(r^{c+o})$ for t (and hence for $f_\infty(r)$ and $g_\infty(r)$), where

$$c = (4\sqrt{e}-3)/(10\sqrt{e}-9) = 0.4801\dots$$

We have used our lemmas to compute the minimal values of $f_\infty(r)$ and $g_\infty(r)$ for $r \leq 10$ with the following results.

r	1-4	5	6-8	9	10
$f_\infty(r)$	0	1	0	1 or 2	0, 2 or 3
$g_\infty(r)$	0	1	0	1	0 or 1

The probable value of $f_\infty(9)$ is 2, but this depends on a hypothesis similar to the existence of infinitely many Mersenne primes. (Explicitly, that there are infinitely many primes $p \equiv 7 \pmod{1980}$ for which $(2^p+1)/3$ is also prime.) On Schinzel's Hypothesis H (see [4]) $g_\infty(10) = 1$; and with a further plausible hypothesis $f_\infty(10) = 2$. The fact that these individual values of f_∞ and g_∞ depend on deep hypotheses shows that there is no algorithm for computing these functions in general.

As regards the true order of magnitude of these functions, it is a consequence of Schinzel's Hypothesis that $f_\infty(r)$ is $\Omega(r^{1/e})$ and $g_\infty(r)$ is $\Omega(r^{1/e}/\log r)$. (Without hypothesis I have only succeeded in showing that these functions are $\Omega(\log r/\log \log r)$.) It seems probable that $r^{1/e}$ is about the right maximum order of magnitude for each of the functions f , g , f_∞ and g_∞ .

Finally, it is possible to obtain results corresponding to all those we have mentioned for the function $\Omega\left(\binom{n}{r}\right)$ (the total number of prime factors of $\binom{n}{r}$, counting multiplicities – not the Ω of the previous paragraph!). In particular,

$$\Omega\left(\binom{n}{r}\right) \geq \Omega(r) \quad \text{for } 1 \leq r \leq n-r.$$

A full account of this work and other related results is given in [2].

References

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