

MIKIO KATO*, TAKAYUKI TAMURA

Weak nearly uniform soothness and worth property of ψ -direct sums of Banach spaces

Abstract.

We shall characterize the weak nearly uniform smoothness of the ψ -direct sum $X \oplus_{\psi} Y$ of Banach spaces X and Y . The Schur and WORTH properties will be also characterized. As a consequence we shall see in the ℓ_{∞} -sums of Banach spaces there are many examples of Banach spaces with the fixed point property which are not uniformly non-square.

2000 *Mathematics Subject Classification*: 46B20, 46B99.

Key words and phrases: absolute norm, convex function, ψ -direct sum of Banach spaces, weak nearly uniform smoothness, Garcia-Falset coefficient, Schur property, WORTH property, uniform non-squareness, fixed point property.

1. Introduction. The ψ -direct sum $X \oplus_{\psi} Y$ of Banach spaces X and Y is the direct sum $X \oplus Y$ equipped with the norm $\|(x, y)\|_{\psi} = \|(\|x\|, \|y\|)\|_{\psi}$, where the $\|(\cdot, \cdot)\|_{\psi}$ term in the right hand side is the absolute normalized norm on \mathbb{C}^2 corresponding to a convex (continuous) function ψ with some conditions on the unit interval ([31]). This extends the notion of the ℓ_p -sum $X \oplus_p Y$. Recently various geometric properties of ψ -direct sums have been investigated by several authors ([31, 26, 16, 7, 17, 19, 5, 6, 8, 18, etc.]). In particular it is shown in [17] that $X \oplus_{\psi} Y$ is uniformly non-square if and only if X and Y are uniformly non-square and neither $\psi = \psi_1$ nor $\psi = \psi_{\infty}$, where $\psi_1(t) = 1$ and $\psi_{\infty}(t) = \max\{1 - t, t\}$ are the corresponding convex functions to the ℓ_1 - and ℓ_{∞} -norms respectively.

The aim of this paper is to characterize the weak nearly uniform smoothness of $X \oplus_{\psi} Y$, which particularly implies the fixed point property (for nonexpansive

*The author was supported partly by a Grant-in-Aid for Scientific Research, Japan Society for the Promotion of Science (16540163).

mappings) ([10]). According to Garcia-Falset [9] a Banach space X is weakly nearly uniformly smooth if and only if X is reflexive and $R(X) < 2$, where $R(X)$ is the Garcia-Falset coefficient. Thus we shall treat the property $R(X) < 2$ for $X \oplus_\psi Y$ (the reflexivity is immediate to see). The WORTH property (Sims [29]) and the WORTHness (Sims [30]) will be discussed as well.

Section 2 is devoted to preliminary definitions and results. In Section 3 we shall show that under the condition $\psi \neq \psi_1$, $R(X \oplus_\psi Y) < 2$ if and only if $R(X) < 2$ and $R(Y) < 2$. As is readily seen, the reflexivity of $X \oplus_\psi Y$ is equivalent to that of X and Y . Combining these results we obtain that, when $\psi \neq \psi_1$, $X \oplus_\psi Y$ is weakly nearly uniformly smooth if and only if X and Y are weakly nearly uniformly smooth. (The case ψ is strictly convex is found in [6]). This result looks interesting as the function ψ_∞ is allowed in contrast with the above-mentioned result concerning the uniform non-squareness of $X \oplus_\psi Y$ where both of ψ_1 and ψ_∞ are excluded. As a consequence, if X and Y are weakly nearly uniformly smooth and $\psi \neq \psi_1$, then $X \oplus_\psi Y$ has the fixed point property. In the recent paper [11] Garcia-Falset, Llorens-Fuster and Mazcuñan-Navarro proved that all uniformly non-square spaces have the fixed point property. Our preceding result especially implies that $X \oplus_\infty Y$ with the above X and Y has the fixed point property, while it is not uniformly non-square. For the case $\psi = \psi_1$, $R(X \oplus_1 Y) < 2$ if and only if X and Y have the Schur property; and hence $X \oplus_1 Y$ is weakly nearly uniformly smooth if and only if X and Y are of finite dimension.

In the final section it will be shown that $X \oplus_\psi Y$ has WORTH if and only if X and Y have WORTH. According to Garcia-Falset [9], in the class of Banach spaces having WORTH, uniform non-squareness implies weak nearly uniform smoothness. Our foregoing results imply that if X and Y are uniformly non-square and have WORTH, then $X \oplus_\infty Y$ has WORTH and is weakly nearly uniformly smooth, but not uniformly non-square. Thus the converse of the above fact by Sims is not valid with many counter examples in ℓ_∞ -sums $X \oplus_\infty Y$. Finally we shall discuss the WORTHness and the weak nearly uniform smoothness of $X \oplus_\infty Y$ for X and Y which may fail to have WORTH.

2. Preliminaries. Let Ψ be the family of all convex functions ψ on $[0, 1]$ satisfying

$$(1) \quad \psi(0) = \psi(1) = 1 \quad \text{and} \quad \max\{1-t, t\} \leq \psi(t) \leq 1 \quad (0 \leq t \leq 1).$$

Let $\|\cdot\|$ be any absolute normalized norm on \mathbb{C}^2 , that is, $\|(z, w)\| = \||z|, |w|\|$ and $\|(1, 0)\| = \|(0, 1)\| = 1$ and let

$$(2) \quad \psi(t) = \|(1-t, t)\| \quad (0 \leq t \leq 1).$$

Then $\psi \in \Psi$. Conversely for any $\psi \in \Psi$ define

$$(3) \quad \|(z, w)\|_\psi = \begin{cases} (|z| + |w|)\psi\left(\frac{|w|}{|z|+|w|}\right) & \text{if } (z, w) \neq (0, 0), \\ 0 & \text{if } (z, w) = (0, 0). \end{cases}$$

Then $\|\cdot\|_\psi$ is an absolute normalized norm on \mathbb{C}^2 and satisfies (2) (Bonsall and Duncan [2], see also [27, 28]). The ℓ_p -norms $\|\cdot\|_p$ are such examples and for all

absolute normalized norms $\|\cdot\|$ on \mathbb{C}^2 we have ([2])

$$(4) \quad \|\cdot\|_\infty \leq \|\cdot\| \leq \|\cdot\|_1.$$

By (2) the convex function corresponding to the ℓ_p -norm is given by

$$(5) \quad \psi_p(t) = \begin{cases} \{(1-t)^p + t^p\}^{1/p} & \text{if } 1 \leq p < \infty, \\ \max\{1-t, t\} & \text{if } p = \infty. \end{cases}$$

The following monotonicity properties of absolute norms are essential in our discussions.

LEMMA 2.1 (BONSALL AND DUNCAN [2]) *Let $\|\cdot\|$ be an absolute normalized norm on \mathbb{C}^2 . Then*

- (i) *If $|p| \leq |r|$ and $|q| \leq |s|$, then $\|(p, q)\| \leq \|(r, s)\|$,*
- (ii) *If $|p| < |r|$ and $|q| < |s|$, then $\|(p, q)\| < \|(r, s)\|$.*

LEMMA 2.2 (KATO, SAITO AND TAMURA [17]) *Let $\psi \in \Psi$ and let $(p, q), (r, s) \in \mathbb{C}^2$.*

- (i) *Let $|p| < |r|$ and $|q| = |s|$. Then $\|(p, q)\|_\psi = \|(r, s)\|_\psi$ if and only if $\|(p, q)\|_\psi = |q|$.*
- (ii) *Let $|p| = |r|$ and $|q| < |s|$. Then $\|(p, q)\|_\psi = \|(r, s)\|_\psi$ if and only if $\|(p, q)\|_\psi = |p|$.*

Let X and Y be Banach spaces and let $\psi \in \Psi$. The ψ -direct sum $X \oplus_\psi Y$ of X and Y is the direct sum $X \oplus Y$ equipped with the norm

$$(6) \quad \|(x, y)\|_\psi = \|(\|x\|, \|y\|)\|_\psi,$$

where the $\|(\cdot, \cdot)\|_\psi$ term in the right hand side is the absolute normalized norm on \mathbb{C}^2 corresponding to the convex function ψ ([31, 16]; see [26] for several examples). It is obvious that $(x_n, y_n) \rightarrow (x, y)$ in $X \oplus_\psi Y$ if and only if $x_n \rightarrow x$ in X and $y_n \rightarrow y$ in Y as $n \rightarrow \infty$.

Now let us recall some properties of Banach spaces. As usual S_X and B_X stand for the unit sphere and the closed unit ball of a Banach space X . X is called *uniformly convex* provided for any $\epsilon > 0$ there exists $\delta > 0$ such that whenever $\|x-y\| \geq \epsilon$, $x, y \in S_X$, one has $\|(x+y)/2\| \leq 1 - \delta$, or equivalently, provided for any ϵ ($0 < \epsilon < 2$) one has $\delta_X(\epsilon) > 0$, where $\delta_X(\epsilon)$ is the *modulus of convexity of X* : $\delta_X(\epsilon) := \inf\{1 - \|(x+y)/2\|; \|x-y\| \geq \epsilon, x, y \in S_X\}$. X is called *uniformly non-square* ([14]; cf. [1, 23]) provided there exists a δ ($0 < \delta < 1$) such that, whenever $\|(x-y)/2\| > 1 - \delta$, $x, y \in S_X$, one has $\|(x+y)/2\| \leq 1 - \delta$, or equivalently, provided $\delta_X(\epsilon) > 0$ for some $0 < \epsilon < 2$. X is said to have the *Schur property* if every weakly convergent sequence in X is strongly convergent.

A sequence $\{x_n\}$ in X is called a *basic sequence* if it is a Schauder basis for its closed linear span, that is, every x in the span of $\{x_n\}$ has a unique representation

of the form $x = \sum_{n=1}^{\infty} \alpha_n x_n$. According to Kutzarova, Prus and Sims [20] X is said to be *weakly nearly uniformly smooth* provided there exist $0 < \epsilon < 1$ and $\mu > 0$ such that for any $0 < t < \mu$ and any basic sequence $\{x_n\}$ in B_X there is $k > 0$ so that $\|x_1 + tx_k\| \leq 1 + t\epsilon$. Garcia-Falset [9] introduced the coefficient $R(X)$ of X , which is referred to as *Garcia-Falset coefficient* in [3, 4], is defined by

$$(7) \quad R(X) = \sup \left\{ \liminf_{n \rightarrow \infty} \|x_n - x\| \right\},$$

where the supremum is taken over all weakly null sequences $\{x_n\}$ in B_X and all x in B_X . As is readily seen, $1 \leq R(X) \leq 2$ and it is known that $R(c_0) = R(\ell_1) = 1$, $R(\ell_p) = 2^{1/p}$ ($1 < p < \infty$) and $R(c) = R(\ell_\infty) = 2$ (cf. [22, p.165]). It is known that uniformly convex, resp., uniformly smooth spaces are weakly nearly uniformly smooth (cf. [22, p.165], [25, p.508]), and X is weakly nearly uniformly smooth if and only if X is reflexive and $R(X) < 2$ (Garcia-Falset [9, Corollary 4.4]).

A Banach space X is said to have the *fixed point property* (resp., *weak fixed point property*) for *nonexpansive mappings* if for any nonempty closed bounded (resp., weakly compact) convex subset C of X , every nonexpansive mapping $T : C \rightarrow C$ ($\|Tx - Ty\| \leq \|x - y\|$ for all $x, y \in C$), has a fixed point. The former is called FPP in short. If $R(X) < 2$, X has the weak fixed point property (Garcia-Falset [10]); hence weakly nearly uniformly smooth spaces have FPP.

3. Weak nearly uniform smoothness of $X \oplus_\psi Y$. We need some lemmas for our main theorem. First we shall discuss the dual space of $X \oplus_\psi Y$ and generalized Hölder's inequality, which are also treated in [5] (see also [24]); however for convenience of the reader we shall present our proofs though they are similar to those in [5]. In the following X^* stands for the dual space of X .

LEMMA 3.1 (**Generalized Hölder's inequality**; cf. [5, 24]) *Let X and Y be Banach spaces and $\psi \in \Psi$. Let ψ^* be the function on $[0, 1]$ defined by*

$$(8) \quad \psi^*(s) = \sup_{0 \leq t \leq 1} \frac{(1-s)(1-t) + st}{\psi(t)}.$$

Then $\psi^ \in \Psi$ and*

$$(9) \quad |x^*(x) + y^*(y)| \leq \|(x^*, y^*)\|_{\psi^*} \|(x, y)\|_\psi$$

for all $(x, y) \in X \oplus_\psi Y$ and $(x^, y^*) \in X^* \oplus_{\psi^*} Y^*$.*

PROOF It is easily seen that $\psi^* \in \Psi$. For any nonzero $(x, y) \in X \oplus_\psi Y$ and $(x^*, y^*) \in$

$X^* \oplus_{\psi^*} Y^*$ we have

$$\begin{aligned}
|x^*(x) + y^*(y)| &\leq \|x^*\| \|x\| + \|y^*\| \|y\| \\
&= \|(x, y)\|_{\psi} (\|x^*\| + \|y^*\|) \frac{\frac{\|x^*\|}{\|x^*\| + \|y^*\|} \frac{\|x\|}{\|x\| + \|y\|} + \frac{\|y^*\|}{\|x^*\| + \|y^*\|} \frac{\|y\|}{\|x\| + \|y\|}}{\psi\left(\frac{\|y\|}{\|x\| + \|y\|}\right)} \\
&\leq \|(x, y)\|_{\psi} (\|x^*\| + \|y^*\|) \sup_{0 \leq t \leq 1} \frac{\frac{\|x^*\|}{\|x^*\| + \|y^*\|} (1-t) + \frac{\|y^*\|}{\|x^*\| + \|y^*\|} t}{\psi(t)} \\
&= \|(x, y)\|_{\psi} (\|x^*\| + \|y^*\|) \psi^* \left(\frac{\|y^*\|}{\|x^*\| + \|y^*\|} \right) \\
&= \|(x, y)\|_{\psi} \|(x^*, y^*)\|_{\psi^*}. \quad \blacksquare
\end{aligned}$$

Now we shall see $(X \oplus_{\psi} Y)^* = X^* \oplus_{\psi^*} Y^*$:

PROPOSITION 3.2 (CF. [5, 24]) *Let X and Y be Banach spaces and $\psi \in \Psi$. Then $f \in (X \oplus_{\psi} Y)^*$ if and only if there exist unique $x^* \in X^*$ and $y^* \in Y^*$ such that*

$$(10) \quad f(x, y) = x^*(x) + y^*(y) \quad \text{for all } (x, y) \in X \oplus_{\psi} Y.$$

Moreover, $\|f\| = \|(x^*, y^*)\|_{\psi^*}$.

PROOF Let $x^* \in X^*$ and $y^* \in Y^*$. Define $f(x, y)$ by (10). Then by Lemma 3.1

$$|f(x, y)| = |x^*(x) + y^*(y)| \leq \|(x^*, y^*)\|_{\psi^*} \|(x, y)\|_{\psi},$$

from which it follows that $f \in (X \oplus_{\psi} Y)^*$ and $\|f\| \leq \|(x^*, y^*)\|_{\psi^*}$. Conversely take an arbitrary $f \in (X \oplus_{\psi} Y)^*$ and let $x^* = f(\cdot, 0)$, $y^* = f(0, \cdot)$. Then $x^* \in X^*$ and $y^* \in Y^*$ as

$$\|x^*\| = \sup_{\|x\| \leq 1} |x^*(x)| = \sup_{\|x\| \leq 1} |f(x, 0)| \leq \|f\| \sup_{\|x\| \leq 1} \|(x, 0)\|_{\psi} = \|f\|.$$

Obviously we have $f(x, y) = x^*(x) + y^*(y)$ for $(x, y) \in X \oplus_{\psi} Y$. We see $\|(x^*, y^*)\|_{\psi^*} \leq \|f\|$. We may assume $(x^*, y^*) \neq (0, 0)$. For any $\varepsilon > 0$ there exist $u \in S_X$ and $v \in S_Y$ for which $\|x^*\| \leq x^*(u) + \varepsilon$ and $\|y^*\| \leq y^*(v) + \varepsilon$. Then

$$\begin{aligned}
\|(x^*, y^*)\|_{\psi^*} &= (\|x^*\| + \|y^*\|) \psi^* \left(\frac{\|y^*\|}{\|x^*\| + \|y^*\|} \right) \\
&= (\|x^*\| + \|y^*\|) \sup_{0 \leq t \leq 1} \frac{1}{\psi(t)} \left[\left(1 - \frac{\|y^*\|}{\|x^*\| + \|y^*\|} \right) (1-t) + \frac{\|y^*\|}{\|x^*\| + \|y^*\|} t \right] \\
&= \sup_{0 \leq t \leq 1} \frac{\|x^*\| (1-t) + \|y^*\| t}{\psi(t)} \\
&\leq \sup_{0 \leq t \leq 1} \frac{(x^*(u) + \varepsilon)(1-t) + (y^*(v) + \varepsilon)t}{\psi(t)} \\
&\leq \sup_{0 \leq t \leq 1} \left[x^* \left(\frac{1-t}{\psi(t)} u \right) + y^* \left(\frac{t}{\psi(t)} v \right) \right] + 2\varepsilon.
\end{aligned}$$

Since

$$\left\| \left(\frac{1-t}{\psi(t)}u, \frac{t}{\psi(t)}v \right) \right\|_{\psi} \leq \frac{1}{\psi(t)} \|(1-t, t)\|_{\psi} = 1$$

and ε is arbitrary, we have $\|(x^*, y^*)\|_{\psi^*} \leq \|f\|$ (recall (10)). This completes the proof. \blacksquare

LEMMA 3.3 *Let X and Y be Banach spaces and $\psi \in \Psi$. Then $\{(x_n, y_n)\}$ tends weakly to 0 in $X \oplus_{\psi} Y$ if and only if $\{x_n\}$ and $\{y_n\}$ tend weakly to 0 in X and Y , respectively.*

PROOF Let $\{x_n\}$ and $\{y_n\}$ be weakly null sequences. By Proposition 3.2 for any $f \in (X \oplus_{\psi} Y)^*$ there exist unique $x^* \in X^*$ and $y^* \in Y^*$ such that $f(x, y) = \langle (x^*, y^*), (x, y) \rangle = x^*(x) + y^*(y)$ for all $(x, y) \in X \oplus_{\psi} Y$. Therefore

$$\lim_{n \rightarrow \infty} f(x_n, y_n) = \lim_{n \rightarrow \infty} [x^*(x_n) + y^*(y_n)] = 0.$$

Conversely let $\{(x_n, y_n)\}$ tend weakly to 0 in $X \oplus_{\psi} Y$. Take arbitrary $x^* \in X^*$ and $y^* \in Y^*$. Since $(x^*, 0) \in (X \oplus_{\psi} Y)^*$, we have

$$\lim_{n \rightarrow \infty} x^*(x_n) = \lim_{n \rightarrow \infty} \langle (x^*, 0), (x_n, y_n) \rangle = 0.$$

In the same way we have $\lim_{n \rightarrow \infty} y^*(y_n) = 0$. \blacksquare

The following lemma is essential in our later discussion.

LEMMA 3.4 *Let $\{x_n^{(k)}\}$ and $\{y_n^{(k)}\}$ be double sequences with nonzero terms in a Banach space X such that $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\| > 0$ and $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\| > 0$. Then the following are equivalent.*

- (i) $\lim_{k \rightarrow \infty} \liminf_{n \rightarrow \infty} \|x_n^{(k)} + y_n^{(k)}\| = \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} (\|x_n^{(k)}\| + \|y_n^{(k)}\|)$.
- (ii) $\lim_{k \rightarrow \infty} \liminf_{n \rightarrow \infty} \left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| = 2$.

PROOF Let $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\| = a$, $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\| = b$. We may assume that $0 < a \leq b$. Suppose (i) to be true. Since

$$\begin{aligned} 2 &\geq \left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| = \frac{1}{\|x_n^{(k)}\| \|y_n^{(k)}\|} \left\| \|y_n^{(k)}\| x_n^{(k)} + \|x_n^{(k)}\| y_n^{(k)} \right\| \\ &= \frac{1}{\|x_n^{(k)}\| \|y_n^{(k)}\|} \left\| \|y_n^{(k)}\| (x_n^{(k)} + y_n^{(k)}) - (\|y_n^{(k)}\| - \|x_n^{(k)}\|) y_n^{(k)} \right\| \\ &\geq \frac{1}{\|x_n^{(k)}\| \|y_n^{(k)}\|} \left| \|y_n^{(k)}\| \|x_n^{(k)} + y_n^{(k)}\| - \left| \|y_n^{(k)}\| - \|x_n^{(k)}\| \right| \|y_n^{(k)}\| \right| \\ &= \frac{1}{\|x_n^{(k)}\|} \left[\|x_n^{(k)} + y_n^{(k)}\| - \left| \|y_n^{(k)}\| - \|x_n^{(k)}\| \right| \right], \end{aligned}$$

we have

$$\begin{aligned}
2 &\geq \liminf_{n \rightarrow \infty} \left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| \\
&\geq \liminf_{n \rightarrow \infty} \left[\frac{1}{\|x_n^{(k)}\|} \left| \|x_n^{(k)} + y_n^{(k)}\| - \left| \|y_n^{(k)}\| - \|x_n^{(k)}\| \right| \right| \right] \\
&= \lim_{n \rightarrow \infty} \frac{1}{\|x_n^{(k)}\|} \liminf_{n \rightarrow \infty} \left[\|x_n^{(k)} + y_n^{(k)}\| - \left| \|y_n^{(k)}\| - \|x_n^{(k)}\| \right| \right] \\
&\geq \lim_{n \rightarrow \infty} \frac{1}{\|x_n^{(k)}\|} \left[\liminf_{n \rightarrow \infty} [\|x_n^{(k)} + y_n^{(k)}\|] - \lim_{n \rightarrow \infty} \left| \|y_n^{(k)}\| - \|x_n^{(k)}\| \right| \right],
\end{aligned}$$

where the last term tends to $\frac{1}{a}[(a+b) - |b-a|] = 2$ as $k \rightarrow \infty$. Therefore we have (ii). Conversely assume that (ii) is true. Then

$$\begin{aligned}
\|x_n^{(k)}\| + \|y_n^{(k)}\| &\geq \|x_n^{(k)} + y_n^{(k)}\| \\
&\geq \|y_n^{(k)}\| \left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| \\
&= \|y_n^{(k)}\| \left\| \left(\frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right) - \left(\frac{x_n^{(k)}}{\|x_n^{(k)}\|} - \frac{x_n^{(k)}}{\|y_n^{(k)}\|} \right) \right\| \\
&\geq \|y_n^{(k)}\| \left(\left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| - \left| \frac{1}{\|x_n^{(k)}\|} - \frac{1}{\|y_n^{(k)}\|} \right| \|x_n^{(k)}\| \right).
\end{aligned}$$

Therefore

$$\begin{aligned}
&\lim_{n \rightarrow \infty} [\|x_n^{(k)}\| + \|y_n^{(k)}\|] \\
&\geq \liminf_{n \rightarrow \infty} \left[\|y_n^{(k)}\| \left(\left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| - \left| \frac{1}{\|x_n^{(k)}\|} - \frac{1}{\|y_n^{(k)}\|} \right| \|x_n^{(k)}\| \right) \right] \\
&\geq \lim_{n \rightarrow \infty} \|y_n^{(k)}\| \left[\liminf_{n \rightarrow \infty} \left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{y_n^{(k)}}{\|y_n^{(k)}\|} \right\| - \lim_{n \rightarrow \infty} \left| \frac{1}{\|x_n^{(k)}\|} - \frac{1}{\|y_n^{(k)}\|} \right| \lim_{n \rightarrow \infty} \|x_n^{(k)}\| \right].
\end{aligned}$$

Since the first and the last terms tend to $a+b$ as $k \rightarrow \infty$, we have the conclusion. ■

The next lemma is useful to treat the coefficient $R(X)$.

LEMMA 3.5 *Let X be a Banach space. Then $R(X) = \sup\{\lim_{n \rightarrow \infty} \|x_n - x\|\}$, where the supremum is taken over all weakly null sequences $\{x_n\}$ in B_X and $x \in B_X$ such that $\{\|x_n - x\|\}$ and $\{\|x_n\|\}$ have limits.*

PROOF For any $\varepsilon > 0$ there exist a weakly null sequence $\{x_n\}$ in B_X and $x \in B_X$ such that $\liminf_{n \rightarrow \infty} \|x_n - x\| \geq R(X) - \varepsilon$. As $\{\|x_n - x\|\}$ and $\{\|x_n\|\}$ are bounded, there exists a subsequence $\{x_{n_i}\}$ of $\{x_n\}$ such that $\{\|x_{n_i} - x\|\}$ and $\{\|x_{n_i}\|\}$ have limits. Then we have $R(X) \geq \lim_{i \rightarrow \infty} \|x_{n_i} - x\| \geq R(X) - \varepsilon$. Since ε is arbitrary, we have the conclusion. \blacksquare

Now we are in a position to present our main theorem.

THEOREM 3.6 *Let X and Y be Banach spaces. Let $\psi \in \Psi$ and $\psi \neq \psi_1$. Then $R(X \oplus_\psi Y) < 2$ if and only if $R(X) < 2$ and $R(Y) < 2$.*

PROOF In view of Lemma 3.3 it is obvious that $R(X \oplus_\psi Y) < 2$ implies $R(X) < 2$ and $R(Y) < 2$. For the converse let $R(X) < 2$ and $R(Y) < 2$. Suppose that $R(X \oplus_\psi Y) = 2$. Then by Lemma 3.5 we have weakly null sequences $\{(x_n^{(k)}, y_n^{(k)})\}_n$ ($k = 1, 2, \dots$) and a sequence $\{(x^{(k)}, y^{(k)})\}$ in the unit ball of $X \oplus_\psi Y$ such that

$$2 = R(X \oplus_\psi Y) = \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)} + x^{(k)}\|, \|y_n^{(k)} + y^{(k)}\|)\|_\psi.$$

Then for each k , $\{x_n^{(k)}\}_n$ and $\{y_n^{(k)}\}_n$ are weakly null sequences in X and Y respectively. We may assume the existence of all the following limits without loss of generality:

$$\begin{aligned} \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)} + x^{(k)}\|, & \quad \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)} + y^{(k)}\|, \\ \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, & \quad \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\|, \\ \lim_{k \rightarrow \infty} \|x^{(k)}\|, & \quad \lim_{k \rightarrow \infty} \|y^{(k)}\| \end{aligned}$$

(Indeed these sequences are bounded; so take subsequences necessary times.) Then we have

$$\begin{aligned} 2 &= \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)} + x^{(k)}\|, \|y_n^{(k)} + y^{(k)}\|)\|_\psi \\ &\leq \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)}\| + \|x^{(k)}\|, \|y_n^{(k)}\| + \|y^{(k)}\|)\|_\psi \\ &\leq \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \left\{ \|(\|x_n^{(k)}\|, \|y_n^{(k)}\|)\|_\psi + \|(\|x^{(k)}\|, \|y^{(k)}\|)\|_\psi \right\} \leq 2, \end{aligned}$$

and hence

$$\begin{aligned} (11) \quad & \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)} + x^{(k)}\|, \|y_n^{(k)} + y^{(k)}\|)\|_\psi \\ &= \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)}\| + \|x^{(k)}\|, \|y_n^{(k)}\| + \|y^{(k)}\|)\|_\psi \\ &= \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \left\{ \|(\|x_n^{(k)}\|, \|y_n^{(k)}\|)\|_\psi + \|(\|x^{(k)}\|, \|y^{(k)}\|)\|_\psi \right\} = 2. \end{aligned}$$

Thus

$$\begin{aligned} (12) \quad & \|(\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)} + x^{(k)}\|, \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)} + y^{(k)}\|)\|_\psi \\ &= \|(\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|x_n^{(k)}\| + \|x^{(k)}\|\}, \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|y_n^{(k)}\| + \|y^{(k)}\|\})\|_\psi = 2. \end{aligned}$$

Suppose here that $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)} + x^{(k)}\| < \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|x_n^{(k)}\| + \|x^{(k)}\|\}$. Then by Lemma 2.1 and (12) we have $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)} + y^{(k)}\| = \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|y_n^{(k)}\| + \|y^{(k)}\|\}$, and hence

$$\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)} + y^{(k)}\| = \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|y_n^{(k)}\| + \|y^{(k)}\|\} = 2$$

by Lemma 2.2, from which it follows that $R(Y) = 2$, a contradiction. Therefore we have

$$(13) \quad \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)} + x^{(k)}\| = \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|x_n^{(k)}\| + \|x^{(k)}\|\}.$$

In the same way

$$(14) \quad \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)} + y^{(k)}\| = \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{\|y_n^{(k)}\| + \|y^{(k)}\|\}.$$

Now we shall show that

$$(15) \quad \begin{aligned} & \max\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, \lim_{k \rightarrow \infty} \|x^{(k)}\|\} \\ &= \max\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\|, \lim_{k \rightarrow \infty} \|y^{(k)}\|\} = 1. \end{aligned}$$

We first see

$$(16) \quad \begin{aligned} & \min\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, \lim_{k \rightarrow \infty} \|x^{(k)}\|\} \\ &= \min\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\|, \lim_{k \rightarrow \infty} \|y^{(k)}\|\} = 0. \end{aligned}$$

Suppose that $\min\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, \lim_{k \rightarrow \infty} \|x^{(k)}\|\} > 0$. By Lemma 3.4 and (13) we have

$$\lim_{k \rightarrow \infty} \liminf_{n \rightarrow \infty} \left\| \frac{x_n^{(k)}}{\|x_n^{(k)}\|} + \frac{x^{(k)}}{\|x^{(k)}\|} \right\| = 2,$$

which implies $R(X) = 2$, a contradiction. In the same way we have the latter identity of (16). To see (15) assume that

$$(17) \quad \lim_{k \rightarrow \infty} \|x^{(k)}\| = \min\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, \lim_{k \rightarrow \infty} \|x^{(k)}\|\} = 0.$$

Since $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \{(\|x_n^{(k)}\|, \|y_n^{(k)}\|)\|_\psi + (\|x^{(k)}\|, \|y^{(k)}\|)\|_\psi\} = 2$ by (11), we have

$$(18) \quad \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)}\|, \|y_n^{(k)}\|)\|_\psi = \lim_{k \rightarrow \infty} \|(\|x^{(k)}\|, \|y^{(k)}\|)\|_\psi = 1.$$

From (17) and (18) follows $\lim_{k \rightarrow \infty} \|y^{(k)}\| = 1$ and hence $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\| = 0$ by (16). Therefore we obtain $\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\| = 1$ by (18), or the first half assertion of (15). In the case where

$$\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\| = \min\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, \lim_{k \rightarrow \infty} \|x^{(k)}\|\} = 0,$$

a parallel argument works and we have $\lim_{k \rightarrow \infty} \|x^{(k)}\| = 1$. Thus we obtain (15). Consequently, according to (16) and (15) we have

$$\begin{aligned} 2 &= \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)} + x^{(k)}\|, \|y_n^{(k)} + y^{(k)}\|)\|_\psi \\ &= \lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|(\|x_n^{(k)}\| + \|x^{(k)}\|, \|y_n^{(k)}\| + \|y^{(k)}\|)\|_\psi \\ &= \left\| (\max\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|x_n^{(k)}\|, \lim_{k \rightarrow \infty} \|x^{(k)}\|\}, \max\{\lim_{k \rightarrow \infty} \lim_{n \rightarrow \infty} \|y_n^{(k)}\|, \lim_{k \rightarrow \infty} \|y^{(k)}\|\}) \right\|_\psi \\ &= \|(1, 1)\|_\psi, \end{aligned}$$

or $\psi(1/2) = 1$, which implies that $\psi = \psi_1$, a contradiction. This completes the proof. \blacksquare

From the fundamental fact (4) for absolute normalized norms it immediately follows that $X \oplus_\psi Y$ is reflexive if and only if X and Y are reflexive. Combining Theorem 3.6 and this result, we obtain our next main theorem.

THEOREM 3.7 *Let X and Y be Banach spaces and $\psi \in \Psi$, $\psi \neq \psi_1$. Then the following are equivalent.*

- (i) $X \oplus_\psi Y$ is weakly nearly uniformly smooth.
- (ii) X and Y are weakly nearly uniformly smooth.

We note that the case ψ is strictly convex is found in [6]. According to Garcia-Falset [10] *weakly nearly uniformly smooth spaces have the fixed point property for nonexpansive mappings*. Thus we obtain the following.

COROLLARY 3.8 *Let X and Y be weakly nearly uniformly smooth Banach spaces and let $\psi \in \Psi$, $\psi \neq \psi_1$. Then $X \oplus_\psi Y$ has FPP.*

We recall here a recent result of Kato-Saito-Tamura [17]:

THEOREM 3.9 (KATO, SAITO AND TAMURA [17]; SEE ALSO [18]) *Let X and Y be Banach spaces and $\psi \in \Psi$. Then the following are equivalent.*

- (i) $X \oplus_\psi Y$ is uniformly non-square.
- (ii) X and Y are uniformly non-square and $\psi \neq \psi_1, \psi_\infty$.

REMARK 3.10 Recently Garcia-Falset, Llorens-Fuster and Mazcuñan-Navarro [11] showed that *all uniformly non-square Banach spaces have FPP*. Our Theorem 3.7, or Corollary 3.8 especially asserts that *for weakly nearly uniformly smooth Banach spaces X and Y , $X \oplus_\infty Y$ has FPP, whereas $X \oplus_\infty Y$ is not uniformly non-square by Theorem 3.9.*

Now we shall apply our result to the $\ell_{p,q}$ -sum of Banach spaces X and Y ([26]). Let $1 \leq q \leq p \leq \infty$. Let $\|\cdot\|_{p,q}$ be the (Lorentz) $\ell_{p,q}$ -norm: $\|(z_1, z_2)\|_{p,q} = \{z_1^{*q} + 2^{(q/p)-1} z_2^{*q}\}^{1/q}$, where $\{z_1^*, z_2^*\}$ is the non-increasing rearrangement of

$\{|z_1|, |z_2|\}$. Then $\|\cdot\|_{p,q}$ is an absolute normalized norm on \mathbb{C}^2 with the corresponding convex function

$$\psi_{p,q}(t) = \begin{cases} \{(1-t)^q + 2^{q/p-1}t^q\}^{1/q} & \text{if } 0 \leq t \leq 1/2, \\ \{t^q + 2^{q/p-1}(1-t)^q\}^{1/q} & \text{if } 1/2 \leq t \leq 1. \end{cases}$$

The $\ell_{p,q}$ sum $X \oplus_{p,q} Y$ is the direct sum of X and Y with the norm $\|(x, y)\|_{p,q} := \|(\|x\|, \|y\|)\|_{p,q}$.

COROLLARY 3.11 *Let X and Y be Banach spaces and let $1 \leq q \leq p \leq \infty$, not $p = q = 1$. Then $X \oplus_{p,q} Y$ is weakly nearly uniformly smooth if and only if X and Y are weakly nearly uniformly smooth. In particular the same is true for $X \oplus_p Y$, $1 < p \leq \infty$.*

Our next concern is the case $\psi = \psi_1$, namely the ℓ_1 -sum $X \oplus_1 Y$.

PROPOSITION 3.12 *Let X and Y be Banach spaces and $\psi \in \Psi$. Then $X \oplus_\psi Y$ has the Schur property if and only if X and Y have the Schur property.*

PROOF As the Schur property is inherited by subspaces, the necessity is trivial. Conversely let X and Y have the Schur property. Let $\{(x_n, y_n)\}$ be a weakly null sequence in $X \oplus_\psi Y$. Then $\{x_n\}$ and $\{y_n\}$ tend weakly to 0 in X and Y , respectively, and hence they tend strongly to 0, from which it follows that $\{(x_n, y_n)\}$ tends (strongly) to $(0, 0)$ in $X \oplus_\psi Y$ as $\|(x_n, y_n)\|_\psi \leq \|x_n\| + \|y_n\|$. ■

THEOREM 3.13 *Let X and Y be Banach spaces. Then $R(X \oplus_1 Y) < 2$ if and only if X and Y have the Schur property.*

PROOF Assume that X and Y have the Schur property. Let $\{(x_n, y_n)\}$ be any weakly null sequence in $B_{X \oplus_1 Y}$ and $(x, y) \in B_{X \oplus_1 Y}$. Then $\{(x_n, y_n)\}$ converges strongly to $(0, 0)$ in $X \oplus_1 Y$. Therefore

$$\liminf_{n \rightarrow \infty} \|(x_n, y_n) - (x, y)\|_1 = \|(x, y)\|_1 \leq 1,$$

which implies $R(X \oplus_1 Y) \leq 1$ and hence $R(X \oplus_1 Y) = 1$. For the converse we assume that Y does not have the Schur property without loss of generality. Then there exists a sequence $\{y_n\}$ in Y which converges weakly to 0, but does not converge strongly. Then there exists $\varepsilon_0 > 0$ such that $\|y_n\| \geq \varepsilon_0$ for infinitely many n . We may assume $y_n \neq 0$ for all n . Let $v_n = y_n/\|y_n\| \in S(Y)$. Then $\{v_n\}$ converges weakly to 0, whence $\{(0, v_n)\}$ converges weakly to 0 in $B_{X \oplus_1 Y}$ and $\|(x, 0)\|_1 = 1$. Take an arbitrary $x \in S(X)$. Then since

$$R(X \oplus_1 Y) \geq \liminf_{n \rightarrow \infty} \|(0, v_n) + (x, 0)\|_1 = \|(1, 1)\|_1 = 2,$$

we have $R(X \oplus_1 Y) = 2$. This completes the proof. ■

By Theorem 3.13 we obtain the following

THEOREM 3.14 *Let X and Y be Banach spaces. Then the following are equivalent.*

- (i) $X \oplus_1 Y$ is weakly nearly uniformly smooth.
- (ii) X and Y are reflexive and have the Schur property.
- (iii) X and Y are of finite dimension.

By Theorems 3.13 and 3.14 we have the following.

COROLLARY 3.15 *Let X and Y be weakly nearly uniformly smooth and let $\psi \neq \psi_1$. Then $X \oplus_\psi Y$ is weakly nearly uniformly smooth. The converse holds true if X or Y is of infinite dimension.*

4. WORTH property of $X \oplus_\psi Y$. A Banach space X is said to have the property *WORTH* (Sims [29]) if

$$(19) \quad \lim_{n \rightarrow \infty} \left| \|x_n + x\| - \|x_n - x\| \right| = 0$$

for all weakly null sequences $\{x_n\}$ in X and for all $x \in X$. It is obvious that all Banach spaces with the Schur property (e.g. finite dimensional spaces and ℓ_1) have WORTH. Also Hilbert spaces, ℓ_p ($1 < p < \infty$) and c_0 have WORTH, while $L_p[0, 1]$ ($1 \leq p \leq \infty, p \neq 2$) (Sims [30, p. 528]) and c do not have WORTH (cf. Example 1 below). We first recall the next result which is a direct consequence of Garcia-Falset [9].

THEOREM 4.1 (CF. GARCIA-FALSET [9] PROPOSITION 3.6) *If a Banach space X is uniformly non-square and has WORTH, X is weakly nearly uniformly smooth.*

THEOREM 4.2 *Let X and Y be Banach spaces and let $\psi \in \Psi$. Then $X \oplus_\psi Y$ has WORTH if and only if X and Y have WORTH.*

PROOF Assume that X and Y have WORTH. Let $\{(x_n, y_n)\}$ be a weakly null sequence in $X \oplus_\psi Y$ and $(x, y) \in X \oplus_\psi Y$. Then $\{x_n\}$ and $\{y_n\}$ tend weakly to 0 in X and Y respectively and

$$\begin{aligned} & \left| \|(x_n, y_n) + (x, y)\|_\psi - \|(x_n, y_n) - (x, y)\|_\psi \right| \\ &= \left| \|(\|x_n + x\|, \|y_n + y\|)\|_\psi - \|(\|x_n - x\|, \|y_n - y\|)\|_\psi \right| \\ &\leq \|(\|x_n + x\| - \|x_n - x\|, \|y_n + y\| - \|y_n - y\|)\|_\psi \\ &\leq \left| \|x_n + x\| - \|x_n - x\| \right| + \left| \|y_n + y\| - \|y_n - y\| \right| \rightarrow 0 \end{aligned}$$

as $n \rightarrow \infty$. Thus $X \oplus_\psi Y$ has WORTH. The converse assertion is obvious as the property WORTH is inherited by subspaces. ■

By Theorems 4.1 and 3.13 we obtain the following.

COROLLARY 4.3 *Let X and Y be uniformly non-square Banach spaces with the property WORTH and $\psi \in \Psi$, $\psi \neq \psi_1$. Then $X \oplus_\psi Y$ is weakly nearly uniformly smooth.*

REMARK 4.4 According to Theorem 4.1, *under the condition WORTH uniform non-squareness implies weak nearly uniform smoothness.* Theorem 4.2 and Corollary 4.3 especially asserts that if X and Y are uniformly non-square Banach spaces with WORTH, then $X \oplus_\infty Y$ has WORTH and is weakly nearly uniformly smooth, whereas it is not uniformly non-square. Thus the converse of the above statement is not true. Similarly, *under the condition of uniform non-squareness, WORTH implies weak nearly uniform smoothness.* The converse assertion is not valid as well. Indeed, $L_p[0, 1]$ ($1 < p < \infty$, $p \neq 2$) does not have WORTH though it is uniformly non-square and weakly nearly uniformly smooth.

In the rest of the paper we shall discuss the case where X and Y fail to have WORTH. Sims [30] introduced the degree of WORTHness of a Banach space X by

$$(20) \quad w(X) = \sup \left\{ r > 0 : r \liminf_{n \rightarrow \infty} \|x_n + x\| \leq \liminf_{n \rightarrow \infty} \|x_n - x\| \right. \\ \left. \text{whenever } x_n \rightharpoonup 0, x \in X \right\}$$

(cf. [10]). It is known that $1/3 \leq w(X) \leq 1$ ([21, p. 62]) and X has WORTH if and only if $w(X) = 1$ (Sims [30, p. 527]). We shall see these facts for convenience of the reader below.

Let $\{x_n\}$ be an arbitrary weakly null sequence in X and $x \in X$. Then

$$\liminf_{n \rightarrow \infty} \|x_n + x\| \leq \liminf_{n \rightarrow \infty} \|x_n - x\| + 2\|x\| \leq 3 \liminf_{n \rightarrow \infty} \|x_n - x\|$$

(Llorens [21, 22]), whence $w(X) \geq 1/3$. Next assume that $\liminf_{n \rightarrow \infty} \|x_n + x\| \geq \liminf_{n \rightarrow \infty} \|x_n - x\|$ and $r \liminf_{n \rightarrow \infty} \|x_n + x\| \leq \liminf_{n \rightarrow \infty} \|x_n - x\|$. Then we have $r \leq 1$ and hence $w(X) \leq 1$.

To see the latter statement the next proposition is helpful.

PROPOSITION 4.5 *A Banach spaces X has the WORTH property if and only if*

$$(21) \quad \lim_{n \rightarrow \infty} \|x_n + x\| = \lim_{n \rightarrow \infty} \|x_n - x\|$$

for all weakly null sequences $\{x_n\}$ in X and all $x \in X$ such that both of the limits exist.

PROOF The necessity is clear. Assume that (21) holds true for all weakly null sequences $\{x_n\}$ and all x in X for which the both limits in (21) exist. Now let $\{x_n\}$

be an arbitrary weakly null sequence in X and $x \in X$. Take a subsequence $\{x_{n_j}\}$ of $\{x_n\}$ such that $\{\|x_{n_j} + x\|\}$ and $\{\|x_{n_j} - x\|\}$ have limits and

$$\limsup_{n \rightarrow \infty} \left| \|x_n + x\| - \|x_n - x\| \right| = \lim_{j \rightarrow \infty} \left| \|x_{n_j} + x\| - \|x_{n_j} - x\| \right|.$$

Then by the assumption we obtain $\limsup_{n \rightarrow \infty} \left| \|x_n + x\| - \|x_n - x\| \right| = 0$, which implies (19). Now assume that $w(X) = 1$. Then by replacing x with $-x$ in (20) we

have $\liminf_{n \rightarrow \infty} \|x_n + x\| = \liminf_{n \rightarrow \infty} \|x_n - x\|$ for every weakly null sequences $\{x_n\}$ and element x in X . Therefore by Proposition 4.5 X has the WORTH property. Conversely assume that X has WORTH. Then for any weakly null sequence $\{x_n\}$ in X and $x \in X$, $\liminf_{n \rightarrow \infty} \|x_n + x\| = \liminf_{n \rightarrow \infty} \{\|x_n - x\| + (\|x_n + x\| - \|x_n - x\|)\} = \liminf_{n \rightarrow \infty} \|x_n - x\|$, whence we have $w(X) = 1$. ■

EXAMPLE 4.6 Let c be the space of real convergent sequences (with the sup norm). Then $w(c) = 1/3$.

Indeed let $x_n = (0, \dots, 0, \overset{n}{1}, 0, \dots)$ and $x = (1/2, 1/2, \dots)$. Then $\{x_n\}$ converges weakly to 0. Since $\lim_{n \rightarrow \infty} \|x_n + x\| = 3/2$ and $\lim_{n \rightarrow \infty} \|x_n - x\| = 1/2$, we have $w(c) \leq 1/3$. Therefore $w(c) = 1/3$.

Theorem 4.2 is sharpened as follows.

THEOREM 4.7 For any Banach spaces X and Y , $w(X \oplus_\psi Y) = \min\{w(X), w(Y)\}$.

PROOF Since X and Y are identified with subspaces of $X \oplus_\psi Y$, $w(X \oplus_\psi Y) \leq \min\{w(X), w(Y)\}$. Let $\{(x_n, y_n)\}$ be an arbitrary weakly null sequence and (x, y) in the unit ball of $X \oplus_\psi Y$. Put $w = \min\{w(X), w(Y)\}$. We take a subsequence $\{(x_{n_j}, y_{n_j})\}$ of $\{(x_n, y_n)\}$ such that $\{\|x_{n_j} \pm x\|\}$ and $\{\|y_{n_j} \pm y\|\}$ have limits and

$$\liminf_{n \rightarrow \infty} \|(\|x_n - x\|, \|y_n - y\|)\|_\psi = \lim_{j \rightarrow \infty} \|(\|x_{n_j} - x\|, \|y_{n_j} - y\|)\|_\psi.$$

Then

$$\begin{aligned} \frac{1}{w} \liminf_{n \rightarrow \infty} \|(\|x_n - x\|, \|y_n - y\|)\|_\psi &= \frac{1}{w} \lim_{j \rightarrow \infty} \|(\|x_{n_j} - x\|, \|y_{n_j} - y\|)\|_\psi \\ &= \left\| \left(\frac{1}{w} \lim_{k \rightarrow \infty} \|x_{n_j} - x\|, \frac{1}{w} \lim_{j \rightarrow \infty} \|y_{n_j} - y\| \right) \right\|_\psi \\ &\geq \left\| \left(\lim_{j \rightarrow \infty} \|x_{n_j} + x\|, \lim_{j \rightarrow \infty} \|y_{n_j} + y\| \right) \right\|_\psi \\ &= \lim_{j \rightarrow \infty} \|(\|x_{n_j} + x\|, \|y_{n_j} + y\|)\|_\psi \\ &\geq \liminf_{n \rightarrow \infty} \|(\|x_n + x\|, \|y_n + y\|)\|_\psi, \end{aligned}$$

which implies $w(X \oplus_\psi Y) \geq \min\{w(X), w(Y)\}$. Thus $w(X \oplus_\psi Y) = \min\{w(X), w(Y)\}$. ■

Now Garcia-Falset [10, Theorem 4] proved that if $\varepsilon_0(X) < 2w(X)$, then $R(X) < 2$, where $\varepsilon_0(X)$ is the characteristic of convexity of X , that is, $\varepsilon_0(X) = \sup\{\varepsilon \geq 0 : \delta_X(\varepsilon) > 0\}$. From this result he derived the following.

THEOREM 4.8 (GARCIA-FALSET [10]COROLLARY 8) *Let X be a Banach space with $\varepsilon_0(X) < 2w(X)$. Then X is weakly nearly uniformly smooth.*

This generalizes Theorem 4.1. Indeed X is uniformly non-square and has WORTH if and only if $\varepsilon_0(X) < 2$ and $w(X) = 1$. Combining Theorems 4.8 and 3.13, for Banach spaces X and Y which may fail to have WORTH we obtain the following.

THEOREM 4.9 *Let $\varepsilon_0(X) < 2w(X)$ and $\varepsilon_0(Y) < 2w(Y)$. Let $\psi \in \Psi$, $\psi \neq \psi_1$. Then $X \oplus_\psi Y$ is weakly nearly uniformly smooth.*

REMARK 4.10 Let $\varepsilon_0(X) < 2w(X)$ and $\varepsilon_0(Y) < 2w(Y)$. Then $X \oplus_\infty Y$ is a counterexample to the converse assertion of Theorem B. In fact, $X \oplus_\infty Y$ is weakly nearly uniformly smooth; however as $X \oplus_\infty Y$ is not uniformly non-square, or $\varepsilon_0(X \oplus_\infty Y) = 2$, we have $2w(X \oplus_\infty Y) = 2 \min\{w(X), w(Y)\} \leq 2 = \varepsilon_0(X \oplus_\infty Y)$ by Theorem 4.7.

REMARK 4.11 In several preceding results on ψ -direct sums such as strict and uniform convexity ([31, 26, 16]), smoothness ([24]), uniform non-squareness ([17]; see Theorem 5 in this paper) etc., the convex functions ψ_1 and ψ_∞ are excluded. In this point of view Theorems 3.9, 3.13 and Corollary 4.3 are interesting as the function ψ_∞ is allowed there. Owing to these results the ℓ_∞ -sum $X \oplus_\infty Y$ works as an effective counterexample in Remarks 3.10-4.10.

REFERENCES

- [1] B. Beauzamy, *Introduction to Banach Spaces and their Geometry*, 2nd ed., North-Holland, Amsterdam, 1985.
- [2] F. F. Bonsall and J. Duncan, *Numerical Ranges II*, London Math. Soc. Lecture Note Ser. **10**, New York-London, 1973.
- [3] S. Chen, Y. Cui, H. Hudzik and B. Sims, *Geometric properties related to fixed point theory in some Banach function lattices*, In: Handbook of Metric Fixed Point Theory, eds. W. A. Kirk and B. Sims, Kluwer, Dordrecht, 2001, pp. 339-389.
- [4] Y. Cui and H. Hudzik and Y. Li, *On the Garcia-Falset coefficient in some Banach sequence spaces*, Lecture Notes in Pure and Appl. Math., No. 213, Marcel Dekker, New York, 2000, pp. 141-148.
- [5] S. Dhompongsa, A. Kaewkhao and S. Saejung, *Uniform smoothness and U -convexity of ψ -direct sums*, J. Nonlinear Convex Anal. **6** (2005), 327-338.
- [6] S. Dhompongsa, A. Kaewcharoen and A. Kaewkhao, *Fixed point property of direct sums*, Nonlinear Anal., to appear.

-
- [7] P. N. Dowling, *On convexity properties of ψ -direct sums of Banach spaces*, J. Math. Anal. Appl. **288** (2003), 540-543.
- [8] P. N. Dowling and B. Turett, *Complex strict convexity of absolute norms on and direct sums of Banach spaces*, to appear in J. Math. Anal. Appl.
- [9] J. Garcia-Falset, *Stability and fixed points for nonexpansive mappings*, Houston J. Math. **20** (1994), 495-506.
- [10] J. Garcia-Falset, *The fixed point property in Banach spaces with the NUS-property*, J. Math. Anal. Appl. **215** (1997), 532-542.
- [11] J. Garcia-Falset, E. Llorens-Fuster and E. Mazcuñan-Navarro, *Uniformly nonsquare Banach spaces have the fixed point property for nonexpansive mappings*, to appear in J. Funct. Anal.
- [12] J. Garcia-Falset, E. Llorens-Fuster and B. A. Sims (eds.), *Fixed Point Theory and Applications*, Yokohama Publishers, Yokohama, 2004.
- [13] K. Goebel and W. A. Kirk, *Topics in metric fixed point theory*, Cambridge Univ. Press, Cambridge, 1990.
- [14] C. James, *Uniformly non-square Banach spaces*, Ann. of Math. **80** (1964), 542-550.
- [15] A. Jiménez and E. Llorens-Fuster, *The fixed point property for some uniformly nonsquare Banach spaces*, Boll. Unione Mat. Ital. (7) **10-A** (1996), 587-595.
- [16] M. Kato, K.-S. Saito and T. Tamura, *On ψ -direct sums of Banach spaces and convexity*, J. Aust. Math. Soc. **75** (2003), 413-422.
- [17] M. Kato, K.-S. Saito and T. Tamura, *Uniform non-squareness of ψ -direct sums of Banach spaces $X \oplus_{\psi} Y$* , Math. Inequal. Appl. **7** (2004), 429-437.
- [18] M. Kato, K.-S. Saito and T. Tamura, *Uniform non- ℓ_1^n -ness of ψ -direct sums of Banach spaces $X \oplus_{\psi} Y$* , preprint.
- [19] M. Kato and T. Tamura, *Some geometric conditions related to fixed point property*, In: Banach and Function Spaces, eds. M. Kato and L. Maligranda, Yokohama Publishers, Yokohama, 2004, pp. 243-253.
- [20] D. Kutzarova, S. Prus and B. Sims, *Remarks on orthogonal convexity of Banach spaces*, Houston J. Math. **19** (1993), 603-614.
- [21] E. Llorens-Fuster, *Moduli and constants*, preprint, URL:<http://www.uv.es/llorens/Documento.pdf>.
- [22] E. Llorens-Fuster, *Some moduli and constants related to metric fixed point theory*, In: Handbook of Metric Fixed Point Theory, eds. W. A. Kirk and B. Sims, Kluwer, Dordrecht, 2001, pp. 133-175.
- [23] R. E. Megginson, *An Introduction to Banach Space Theory*, Springer, New York, 1998.
- [24] K. Mitani, S. Oshiro and K.-S. Saito, *Smoothness of ψ -direct sums of Banach spaces*, Math. Inequal. Appl. **8** (2005), 147-157.
- [25] S. Prus, *Nearly uniformly smooth Banach spaces*, Boll. Un. Mat. Ital. (7) **3-B** (1989), 507-521
- [26] K.-S. Saito and M. Kato, *Uniform convexity of ψ -direct sums of Banach spaces*, J. Math. Anal. Appl. **277** (2003), 1-11.

-
- [27] K.-S. Saito, M. Kato and Y. Takahashi, *Von Neumann-Jordan constant of absolute normalized norms on \mathbb{C}^2* , J. Math. Anal. Appl. **244** (2000), 515-532.
- [28] K.-S. Saito, M. Kato and Y. Takahashi, *On absolute norms on \mathbb{C}^n* , J. Math. Anal. Appl. **252** (2000), 879-905.
- [29] B. A. Sims, *Orthogonality and fixed point of nonexpansive mapping*, Proc. Centre for Math. Anal. Austral. Nat. Univ. **20**, Austral. Nat. Univ., Canberra, 1988, pp. 178-186.
- [30] B. A. Sims, *A class of spaces with weak normal structure*, Bull. Austral. Math. Soc., **50** (1994), 523-528.
- [31] Y. Takahashi, M. Kato and K.-S. Saito, *Strict convexity of absolute norms on \mathbb{C}^2 and direct sums of Banach spaces*, J. Inequal. Appl. **7** (2002), 179-186.

MIKIO KATO

DEPARTMENT OF MATHEMATICS, KYUSHU INSTITUTE OF TECHNOLOGY

KITAKYUSHU 804-8550, JAPAN

E-mail: katom@tobata.isc.kyutech.ac.jp

TAKAYUKI TAMURA

GRADUATE SCHOOL OF SOCIAL SCIENCES AND HUMANITIES, CHIBA UNIVERSITY

CHIBA 263-8522, JAPAN

E-mail: tamura@le.chiba-u.ac.jp

(Received: 13.03.2006)
