

## NOTES ON ISOMETRIES

BY

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**0. Introduction.** Let  $M$  be an  $n$ -dimensional Riemannian manifold of differentiability class  $C^\infty$  covered by a system of coordinate neighborhoods  $\{U; x^h\}$ , where here and in the sequel the indices  $h, i, j, \dots$  run over the range  $\{1, 2, \dots, n\}$ . We denote by  $g_{ji}$ ,  $\nabla_i$ ,  $K_{kji}{}^h$  and  $K_{ji}$  components of the metric tensor, the operator of covariant differentiation with respect to Christoffel symbols  $\{j^h{}_i\}$  formed with  $g_{ji}$ , components of the curvature tensor, and those of the Ricci tensor, respectively.

An infinitesimal transformation  $v^h$  is called an *isometry* if it satisfies

$$(0.1) \quad \mathfrak{L}_v g_{ji} = \nabla_j v_i + \nabla_i v_j = 0,$$

where  $\mathfrak{L}_v$  denotes the operator of Lie differentiation with respect to  $v^h$  and  $v_i = g_{ih} v^h$ .

The present author proved (cf. [5])

**THEOREM A.** *In a compact Riemannian manifold, in order for an infinitesimal transformation  $v^h$  to be an isometry, it is necessary and sufficient that*

$$(0.2) \quad g^{ji} \nabla_j \nabla_i v^h + K_i{}^h v^i = 0, \quad \nabla_i v^i = 0,$$

where  $g^{ji}$  are contravariant components of the metric tensor and  $K_i{}^h = K_{it} g^{th}$ .

Since the Lie derivative of Christoffel symbols with respect to  $v^h$  is given by (cf. [4] and [6])

$$(0.3) \quad \mathfrak{L}_v \{j^h{}_i\} = \nabla_j \nabla_i v^h + K_{kji}{}^h v^k,$$

the first equation of (0.2) can be written as

$$(0.4) \quad g^{ji} (\mathfrak{L}_v \{j^h{}_i\}) = 0.$$

An infinitesimal transformation  $v^h$  satisfying (0.4) is called an *almost isometry* (cf. [1] and [8]).

Since the Lie derivative of the volume element  $\sqrt{g}$ ,  $g$  being the determinant formed with  $g_{ji}$ , is given by (cf. [6])

$$(0.5) \quad \mathcal{L}_v \sqrt{g} = \sqrt{g} \nabla_i v^i,$$

the second equation of (0.2) can be written as

$$(0.6) \quad \mathcal{L}_v \sqrt{g} = 0.$$

An infinitesimal transformation  $v^h$  satisfying (0.6) is said to be *volume-preserving*.

Consequently, we can restate Theorem A as follows:

**THEOREM B.** *In a compact Riemannian manifold, in order for an infinitesimal transformation to be an isometry, it is necessary and sufficient that it is an almost isometry and is volume-preserving.*

An infinitesimal transformation  $v^h$  is called an *affine transformation* if it satisfies (cf. [4] and [6])

$$(0.7) \quad \mathcal{L}_v \{^h_j i\} = \nabla_j \nabla_i v^h + K_{kji}{}^h v^k = 0.$$

From the general formula (cf. [6])

$$(0.8) \quad \mathcal{L}_v \{^h_j i\} = \frac{1}{2} (\nabla_j \mathcal{L}_v g_{ii} + \nabla_i \mathcal{L}_v g_{ji} - \nabla_i \mathcal{L}_v g_{ji}) g^{ih},$$

it is easily seen that an infinitesimal isometry is an affine transformation. Conversely, if  $v^h$  is an infinitesimal affine transformation, it satisfies (0.7) from which we can deduce (0.4) and (0.6) in a compact case. Consequently, using Theorem B, we have (cf. [5])

**THEOREM C.** *An infinitesimal affine transformation in a compact Riemannian manifold is an isometry.*

The main purpose of the present paper is to discuss some topics related to the above-mentioned and to obtain some theorems not only on infinitesimal transformations but also on infinitesimal changes of metric and on finite changes of metric in a Riemannian manifold.

**1. Infinitesimal isometries.** In this section, we prove

**THEOREM 1.1.** *An infinitesimal transformation  $v^h$  in a compact Riemannian manifold such that*

$$(1.1) \quad U_{jih} = (\mathcal{L}_v \{^t_j i\}) g_{th}$$

*is symmetric in all the indices and which is volume-preserving is an isometry.*

**Proof.** Since

$$(1.2) \quad U_{jih} = (\mathcal{L}_v \{^t_j i\}) g_{th} = \nabla_j \nabla_i v_h + K_{kji}{}^h v^k$$

is symmetric in  $i$  and  $h$ , we have, by taking the skew-symmetric part of  $U_{jih}$  with respect to  $i$  and  $h$ ,

$$\nabla_j(\nabla_i v_h - \nabla_h v_i) + 2K_{kjih} v^k = 0,$$

from which, transvecting with  $g^{ji}$ ,

$$(1.3) \quad g^{ji} \nabla_j \nabla_i v_h - g^{ji} \nabla_j \nabla_h v_i + 2K_{kh} v^k = 0.$$

On the other hand, from the Ricci formula

$$\nabla_j \nabla_h v_i - \nabla_h \nabla_j v_i = -K_{jhi}{}^t v_t,$$

we find, transvecting with  $g^{ji}$ ,

$$g^{ji} \nabla_j \nabla_h v_i = \nabla_h \nabla_i v^i + K_h{}^t v_t,$$

or

$$(1.4) \quad g^{ji} \nabla_j \nabla_h v_i = K_{kh} v^k,$$

since the infinitesimal transformation  $v^h$  is volume-preserving, and hence

$$(1.5) \quad \nabla_i v^i = 0.$$

Substituting (1.4) into (1.3), we find

$$(1.6) \quad g^{ji} \nabla_j \nabla_i v^h + K_k{}^h v^k = 0.$$

Thus, according to Theorem A, equations (1.5) and (1.6) show that the infinitesimal transformation  $v^h$  is an isometry. Thus the theorem is proved.

**2. Infinitesimal changes of metric.** Suppose that there is given a one-parameter family  $g_{ji}^*(x, t)$  of Riemannian metrics such that

$$(2.1) \quad g_{ji}^*(x, 0) = g_{ji}(x)$$

in  $M$ . We call in this case the change of metric  $g_{ji} \rightarrow g_{ji}^*$  an *infinitesimal change of metric*. We put

$$(2.2) \quad [\partial g_{ji}^*(x, t)/\partial t]_{t=0} = a_{ji}$$

and call  $a_{ji}$  the *variation tensor*. If  $a_{ji} = 0$ , we call the change of metric  $g_{ji} \rightarrow g_{ji}^*$  an *infinitesimal isometric change of metric*.

Denoting by  $g^*$  the determinant formed with  $g_{ji}^*$  and computing  $[\partial \sqrt{g^*}/\partial t]_{t=0}$ , we find

$$(2.3) \quad [\partial \sqrt{g^*}/\partial t]_{t=0} = \frac{1}{2} \sqrt{g} a_{ji} g^{ji}.$$

If this expression vanishes, we call the change of metric  $g_{ji} \rightarrow g_{ji}^*$  an *infinitesimal volume-preserving change of metric*.

Denoting by  $\{j^h_i\}^*$  the Christoffel symbols formed with  $g_{ji}^*$  and computing

$$U_{ji}{}^h = [\partial \{j^h_i\}^* / \partial t]_{t=0},$$

we find

$$(2.4) \quad U_{ji}{}^h = \frac{1}{2}(\nabla_j a_{ih} + \nabla_i a_{jh} - \nabla_t a_{ji})g^{th}$$

and, consequently, putting

$$(2.5) \quad U_{jih} = U_{ji}{}^t g_{th},$$

we have

$$(2.6) \quad U_{jih} = \frac{1}{2}(\nabla_j a_{ih} + \nabla_i a_{jh} - \nabla_h a_{ji}).$$

If the tensor  $U_{ji}{}^h$  vanishes identically, we say that the infinitesimal change of metric is *affine*. If the tensor  $U_{ji}{}^h$  satisfies

$$(2.7) \quad g^{ji} U_{ji}{}^h = 0,$$

we say that the infinitesimal change of metric is *almost isometric*.

Suppose now that an infinitesimal change of metric  $g_{ji} \rightarrow g_{ji}^*$  is affine. Then, from (2.6), we have

$$\nabla_j a_{ih} + \nabla_i a_{jh} - \nabla_h a_{ji} = 0,$$

from which

$$(2.8) \quad \nabla_j a_{ih} = 0.$$

Conversely, if (2.8) holds, then we have  $U_{ji}{}^h = 0$  and, consequently, we obtain

**THEOREM 2.1.** *In order for an infinitesimal change of metric to be affine it is necessary and sufficient that the covariant derivative of the variation tensor vanishes.*

Suppose next that the Riemannian manifold  $M$  is irreducible and an infinitesimal change of metric  $g_{ji} \rightarrow g_{ji}^*$  in  $M$  is affine and volume-preserving. Then we have  $\nabla_j a_{ih} = 0$ , from which, the Riemannian manifold being irreducible, we have, by a theorem of Schur,  $a_{ih} = cg_{ih}$ ,  $c$  being a constant. On the other hand, the change being volume-preserving, we have  $a_{ih}g^{ih} = 0$  and, consequently,  $nc = 0$ , from which  $a_{ih} = 0$ . Thus the change of metric is isometric. Hence we have

**THEOREM 2.2.** *An infinitesimal affine and volume-preserving change of metric in an irreducible Riemannian manifold is isometric.*

We suppose now that, for an infinitesimal volume-preserving change of metric, the tensor  $U_{jih}$  is symmetric in all the indices  $j$ ,  $i$  and  $h$ . Then, from (2.6), we have

$$\nabla_j a_{ih} + \nabla_i a_{jh} - \nabla_h a_{ji} = \nabla_j a_{hi} + \nabla_h a_{ji} - \nabla_i a_{jh},$$

from which

$$(2.9) \quad \nabla_i a_{jh} = \nabla_h a_{ji},$$

that is to say,  $\nabla_j a_{ih}$  is also symmetric in all the indices. Thus (2.4) reduces to

$$(2.10) \quad U_{ji}{}^h = \frac{1}{2} \nabla_j a_i{}^h,$$

where  $a_i{}^h = a_{il} g^{lh}$ , from which

$$(2.11) \quad g^{ji} U_{ji}{}^h = 0,$$

since the infinitesimal change of metric is volume-preserving. Thus we have

**THEOREM 2.3.** *An infinitesimal volume-preserving change of metric for which the tensor  $U_{jih}$  is symmetric in all the indices is almost isometric.*

Denoting by  $K_{kji}{}^h$  the curvature tensor formed with the Christoffel symbols  $\{j^h{}_i\}^*$ , and computing  $[\partial K_{kji}{}^h / \partial t]_{t=0}$ , we find

$$(2.12) \quad [\partial K_{kji}{}^h / \partial t]_{t=0} = \nabla_k U_{ji}{}^h - \nabla_j U_{ki}{}^h.$$

Thus, supposing that  $U_{jih}$  is symmetric in all the indices and substituting (2.10) into (2.12), we find

$$[\partial K_{kji}{}^h / \partial t]_{t=0} = \frac{1}{2} (\nabla_k \nabla_j a_i{}^h - \nabla_j \nabla_k a_i{}^h),$$

that is,

$$(2.13) \quad [\partial K_{kji}{}^h / \partial t]_{t=0} = \frac{1}{2} (K_{kjt}{}^h a_i{}^t - K_{kji}{}^t a_t{}^h).$$

Thus we have

**THEOREM 2.4.** *If an infinitesimal change of metric such that  $U_{jih}$  is symmetric in all the indices preserves the curvature tensor, then the curvature transformation and the transformation  $a_i{}^h$  commute.*

**3. Finite changes of metric.** Let  $g_{ji}$  and  $g_{ji}^*$  be both Riemannian metrics in an  $n$ -dimensional differentiable manifold  $M$ . We call the change  $g_{ji} \rightarrow g_{ji}^*$  a *finite change* of metric. We denote by  $\{j^h{}_i\}$  and  $\{j^h{}_i\}^*$  the Christoffel symbols formed with  $g_{ji}$  and those formed with  $g_{ji}^*$ , respectively.

We put

$$(3.1) \quad a_{ji} = g_{ji}^* - g_{ji}$$

and call  $a_{ji}$  the *difference tensor*. If  $a_{ji} = 0$ , the change is an *isometry*.

We denote by  $g^*$  the determinant formed with  $g_{ji}^*$ . If

$$(3.2) \quad \sqrt{g^*} = \sqrt{g},$$

the finite change of metric is said to be *volume-preserving*.

We put

$$(3.3) \quad U_{ji}{}^h = \{j^h i\}^* - \{j^h i\}.$$

If the tensor  $U_{ji}{}^h$  vanishes identically, then the finite change of metric is said to be *affine*.

For a finite volume-preserving change of metric, we have

$$U_{ji}{}^t = \{j^t i\}^* - \{j^t i\} = \partial_j \log \sqrt{g^*} - \partial_j \log \sqrt{g} \quad (\partial_j = \partial/\partial x^j),$$

that is,

$$(3.4) \quad U_{ji}{}^t = 0.$$

Now, if the finite change of metric is an isometry, then we have  $U_{ji}{}^h = 0$ . Conversely suppose that we have  $U_{ji}{}^h = 0$ . Then we have

$$\{j^h i\}^* = \{j^h i\}$$

and, consequently,

$$0 = \nabla_j^* g_{ih}^* = \nabla_j g_{ih}^*,$$

where  $\nabla_j^*$  denotes the operator of covariant differentiation with respect to the Christoffel symbols  $\{j^h i\}^*$ . Thus, if  $(M, g)$  is irreducible, we have, by a theorem of Schur,  $g_{ih}^* = cg_{ih}$ ,  $c$  being a positive constant and, consequently, if the change is moreover volume-preserving, then we must have  $g_{ih}^* = g_{ih}$ . Thus we have (cf. [2] and [3])

**THEOREM 3.1.** *If  $(M, g)$  is irreducible and a finite change of metric  $g_{ji} \rightarrow g_{ji}^*$  is volume-preserving and affine, then the change is isometric.*

We denote by

$$(3.5) \quad K_{kji}^*{}^h = \partial_k \{j^h i\}^* - \partial_j \{k^h i\}^* + \{k^h i\}^* \{j^t i\}^* - \{j^t i\}^* \{k^h i\}^*$$

the curvature tensor of  $g_{ji}^*$  and by

$$(3.6) \quad K_{kji}{}^h = \partial_k \{j^h i\} - \partial_j \{k^h i\} + \{k^h i\} \{j^t i\} - \{j^t i\} \{k^h i\}$$

that of  $g_{ji}$ . Since

$$(3.7) \quad \{j^h i\}^* = \{j^h i\} + U_{ji}{}^h,$$

we substitute (3.7) into (3.5) and find

$$(3.8) \quad K_{kji}^*{}^h = K_{kji}{}^h + \nabla_k U_{ji}{}^h - \nabla_j U_{ki}{}^h + U_{kt}{}^h U_{ji}{}^t - U_{jt}{}^h U_{ki}{}^t,$$

from which, by contraction with respect to  $h$  and  $k$ ,

$$(3.9) \quad K_{ji}^* = K_{ji} + \nabla_t U_{ji}{}^t - \nabla_j U_{ti}{}^t + U_{st}{}^s U_{ji}{}^t - U_{jt}{}^s U_{si}{}^t$$

and, by transvection with  $g^{ji}$ ,

$$(3.10) \quad g^{ji} K_{ji}^* = K + \nabla_t g^{ji} U_{ji}{}^t - g^{ji} \nabla_j U_{ti}{}^t + U_{st}{}^s g^{ji} U_{ji}{}^t - g^{ji} U_{jt}{}^s U_{si}{}^t,$$

where  $K_{ji}^*$  and  $K_{ji}$  are Ricci tensors of  $g_{ji}^*$  and  $g_{ji}$ , respectively, and  $K$  is the scalar curvature of  $g_{ji}$ .

Now, for a finite volume-preserving change of metric, equations (3.9) and (3.10) become, respectively,

$$(3.11) \quad K_{ji}^* = K_{ji} + \nabla_t U_{ji}^t - U_{jt}^s U_{si}^t$$

and

$$(3.12) \quad g^{ji} K_{ji}^* = K + \nabla_t g^{ji} U_{ji}^t - g^{ji} U_{jt}^s U_{si}^t.$$

If the tensor  $U_{jih}$  is symmetric in all the indices, we have

$$g^{ji} U_{jt}^s U_{si}^t = U_{jts} U^{jts} \geq 0, \quad \text{where } U^{jts} = U^{jst} = U_{ir}^t g^{ij} g^{rs}.$$

Thus, from (3.12), we have

**THEOREM 3.2.** *If a finite volume-preserving almost isometric change of metric satisfies*

$$(3.13) \quad g^{ji} K_{ji}^* - K \geq 0, \quad U_{jih} = U_{jhi},$$

*then the change is affine.*

*Moreover, if the manifold  $(M, g)$  is irreducible, then the finite change is an isometry.*

In fact, if (3.13) are satisfied, then, from (3.12), we have  $U_{jts} U^{jts} = 0$ , from which  $U_{jih} = 0$ , which means that the change is affine. The latter part of the theorem follows from Theorem 3.1.

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