FASC. 2

ON THE SYMMETRIC DERIVATIVE

 \mathbf{BY}

P. KOSTYRKO (BRATISLAVA)

In the theory of real functions there is a well-known theorem of Banach-Mazurkiewicz (see [1] and [3]), which deals with the metric space C of all real continuous functions on the interval $\langle 0, 1 \rangle$ with the metric

$$\varrho(f,g) = \max_{\boldsymbol{x} \in \langle \mathbf{0}, \mathbf{1} \rangle} \{ |f(x) - g(x)| \}, \quad f, g \in C,$$

and explains the structure of the set of all functions $f \in C$ such that for every $x \in (0, 1)$ there is

$$\lim_{h\to 0}\sup (f(x+h)-f(x))/h = +\infty$$

and

$$\liminf_{h\to 0} (f(x+h) - f(x))/h = -\infty.$$

In the present paper we shall prove an analogue of this theorem for the symmetric derivative.

THEOREM. Let M be the set of all functions $f \in C$ with the following properties: for each $x \in (0, 1)$ there is

$$\limsup_{h\to 0} (f(x+h)-f(x-h))/2h = +\infty,$$

$$\lim_{h\to 0}\inf(f(x+h)-f(x-h))/2h = -\infty.$$

Then the set N = C - M is of the first category in C.

Let

$$\Phi_f(x, h) = (f(x+h)-f(x-h))/2h$$
.

In the proof of the theorem we shall use the following lemma:

LEMMA. There is a function $\varphi \in C$ such that

$$\limsup_{h\to 0} \varPhi_{\varphi}(x,\,h) = +\infty$$

holds for each $x \in (0, 1)$.

Function φ with the required property is constructed in [2]. Proof of the theorem. Let $N = N_1 \cup N_2$, where

$$N_1 = \{f \in C \colon \text{ there exists } x \in (0,1) \text{ such that } \limsup_{h \to 0} \Phi_f(x,h) < +\infty\},$$

$$N_2 = \{f \in C \colon \text{ there exists } x \in (0, 1) \text{ such that } \liminf_{h \to 0} \Phi_f(x, h) > -\infty\}.$$

It is sufficient to prove that the two sets N_1 and N_2 are both of the first category in C. We shall do it first for the set N_1 . Namely, we shall prove that the complement of N_1 is dense in C and the set N_1 is of the type F_{σ} (see [4], p. 88).

Let $\varepsilon > 0$ and let $K(p, \varepsilon) = \{f \in C : \varrho(f, p) < \varepsilon\}$, where p is a polynomial. We show that $K(p, \varepsilon) \cap (C - N_1) \neq \emptyset$. Every function of the form $p + \eta \varphi$, $\eta > 0$, where φ is a function the existence of which is guaranteed by the lemma, belongs to $C - N_1$. In fact, if the polynomial p satisfies the Lipschitz's condition with a constant L (i.e., $|p(x) - p(x')| \leq L|x-x'|$, $x, x' \in (0, 1)$), then for each $x \in (0, 1)$ there is

$$\Phi_{p+\eta\varphi}(x, h) = \Phi_p(x, h) + \eta \Phi_{\varphi}(x, h) \geqslant -L + \eta \Phi_{\varphi}(x, h),$$

whence

$$\limsup_{h\to 0} \Phi_{p+\eta\varphi}(x,h) = +\infty.$$

If we put

$$\eta = \varepsilon/2 \|\varphi\| \quad (\|\varphi\| = \max_{x \in \langle 0,1 \rangle} \{|\varphi(x)|\}),$$

then, obviously, $p + \eta \varphi \in K(p, \varepsilon)$.

Let $F_n=\{f \in C\colon \text{ there exists } x \in \langle 1/n, 1-1/n \rangle \text{ such that if } 0<|h|<<1/n, \text{ then } \Phi_f(x,h)\leqslant n\} \text{ for } n=2,3,\ldots \text{ Since } N_1=\bigcup_{n=2}^\infty F_n, \text{ it is sufficient to prove that each of the sets } F_n \text{ is closed in } C. \text{ Let } n>1 \text{ be a natural number and let } \overline{F}_n \text{ be the closure of the set } F_n. \text{ Let } f \in \overline{F}_n. \text{ Then there is a sequence } \{f_k\}_{k=1,2,\ldots} \text{ of functions } f_k \in F_n \text{ such that } \varrho(f_k,f) \to 0. \text{ It is easy to verify that}$

$$\lim_{k\to\infty} \Phi_{f_k}(x, h) = \Phi_f(x, h)$$

for each $x \in \langle 1/n, 1-1/n \rangle$ and each h such that 0 < |h| < 1/n. The set $\{f_1, f_2, \ldots\}$ is a compact set in C, whence, according to the Arzeli-Ascoli's theorem (see [4], p. 167), for every $\varepsilon > 0$ there is $\delta > 0$ such that $|x-x'| < \delta$ implies $|f_k(x)-f_k(x')| < \varepsilon$ for each $k=1,2,\ldots$ Let $x_k \in \langle 1/n, 1-1/n \rangle$ be a point with the following property: if 0 < |h| < 1/n, then $\Phi_{f_k}(x_k,h) \leqslant n$. We may assume that

$$\lim_{k\to\infty}x_k=x_0\,\epsilon\,\langle 1/n,1-1/n\rangle.$$

Let $|x_0-x_k|<\delta$ for $k\geqslant k_0$. Then

$$|\varPhi_{f_k}(x_0,\,h) - \varPhi_{f_k}(x_k,\,h)| < \varepsilon/|h|$$

holds for $k \geqslant k_0$ and 0 < |h| < 1/n, whence

$$\Phi_{f_k}(x_0, h) < \Phi_{f_k}(x_k, h) + \varepsilon/|h| \leqslant n + \varepsilon/|h|$$

and

$$\Phi_f(x_0, h) = \lim_{k \to \infty} \Phi_{f_k}(x_0, h) \leqslant n + \varepsilon/|h|.$$

Since the last inequality holds for every $\varepsilon > 0$, $\Phi_f(x_0, h) \leqslant n$. Hence $f \in F_n$.

Hence the set N_1 is of the first category in C. And since N_2 is the isometric image of N_1 in the isometry T(f) = -f of the space C onto itself, also N_2 is of the first category in C.

COROLLARY 1. The set D_s of all $f \in C$ for which there exists a symmetric derivative in at least one point $x \in (0, 1)$ is a set of the first category in C.

Proof follows from the inclusion $D_s \subset N$.

COROLLARY 2. The set D of all $f \in C$ for which there exists a derivative (in the usual sense) in at least one point $x \in (0, 1)$ is a set of the first category in C.

Proof follows from the inclusion $D \subset D_s$ and corollary 1.

REFERENCES

- [1] S. Banach, Über die Bairesche Kategorie gewisser Funktionenmengen, Studia Mathematica 3 (1931), p. 174-179.
- [2] L. Filipczak, Exemple d'un fonction continue privée de dérivée symétrique partout, Colloquium Mathematicum 20 (1969), p. 249-253.
- [3] S. Mazurkiewicz, Über die Menge der differenzierbaren Funktionen, Fundamenta Mathematicae 27 (1936), p. 244-249.
- [4] R. Sikorski, Funkcje rzeczywiste I, Warszawa 1958.

Reçu par la Rédaction le 20. 7. 1971