

## On isomorphisms between fibrations over $T^k$ associated with a $T^k$ action

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**Abstract.** Let  $M$  be a closed manifold with a given action of a  $k$ -dimensional torus  $T^k$  on it. Let  $ev_*: \pi_1(T^k, 1) \rightarrow \pi_1(M, x)$  be the homomorphism induced by  $ev: T^k \ni t \rightarrow tx \in M$ . For a fixed monomorphism  $\lambda: \pi_1(T^k) \rightarrow \pi_1(T^k)$  let  $F(\lambda)$  denote the set of all smooth  $T^k$ -equivariant fibrations  $p: M \rightarrow T^k$  such that  $p_* \circ ev_* = \lambda$ . We show that two fibrations  $p, q \in F(\lambda)$  such that  $\text{im}[p_* - q_*] \subseteq \text{im } \lambda$  are equivariantly isomorphic. This implies that there are no more than  $(\text{card}(\pi_1(T^k)/\text{im } \lambda))^{b_1(M)-k}$  isomorphism classes of fibrations belonging to  $F(\lambda)$ , where  $b_1(M)$  is the first Betti number of  $M$ . We also prove the affine version and the holomorphic version of this result.

**Introduction.** Let  $M$  be a closed  $T^k$  manifold. In this paper we investigate when two  $T^k$ -equivariant (see Definition 1.1) fibrations  $p, q: M \rightarrow T^k$  are equivariantly isomorphic.

$T^k$ -equivariant fibrations over  $T^k$  arise naturally in the following situations. First, a fibration over  $T^k$  having finite structure group can be treated as a  $T^k$ -equivariant fibration with respect to some  $T^k$  action on  $T^k$  (see Remark 1.1). Next, any homomorphism  $\lambda: \pi_1(M) \rightarrow \pi_1(T^k)$  such that  $\lambda \circ ev_*: \pi_1(T^k) \rightarrow \pi_1(T^k)$  is a monomorphism (where  $ev_*: \pi_1(T^k, 1) \rightarrow \pi_1(M, x)$  is induced by  $ev: T^k \ni t \rightarrow tx \in M$ ) can be lifted to a  $T^k$ -equivariant fibration  $p: M \rightarrow T^k$  (see e.g. [12, Theorem 1], see also [3, Theorem 4.2], [13, §2]).

We start with the discussion of one of the main results of the paper for  $k = 1$ . Let  $p, q: M \rightarrow S^1$  be two equivariantly isomorphic  $S^1$ -equivariant fibrations. It is clear that  $|p_*(\sigma)| = |q_*(\sigma)|$ , where  $\sigma \in \pi_1(M)$  is the homotopy class of the orbit of the base point of  $M$  and  $p_*, q_*: \pi_1(M) \rightarrow \pi_1(S^1) \cong \mathbf{Z}$  are the induced homomorphisms. We say that the number  $|p_*(\sigma)|$  is the *order* of  $p$ . It is an important invariant of  $p$  (see Remark 1.3). As the order of an  $S^1$ -equivariant fibration can be arbitrarily large it is only reasonable to ask how many nonisomorphic fibrations of a given order  $m$  there can be. We shall prove that two  $S^1$ -equivariant fibrations  $p, q: M \rightarrow S^1$  such that  $|p_*(\sigma)| = |q_*(\sigma)| = m$  and  $\text{im}[p_* - q_*: \pi_1(M) \rightarrow \pi_1(S^1) \approx \mathbf{Z}] \subseteq m\mathbf{Z}$  are equivariantly isomorphic. In particular, there are no more than  $|m|^{b_1(M)-1}$  nonisomorphic fibrations of a given order  $m$  (see Corollary 1.1). A more general result can be stated as follows.

**THEOREM 1.1.** *Let  $p, q: M \rightarrow T^k$  be two fibrations associated with a smooth  $T^k$  action on a closed manifold  $M$ . If  $p_* \circ ev_* = q_* \circ ev_*$  and  $\text{im}[p_* - q_*] \subseteq \text{im } p_* \circ ev_*$ , then there is a  $T^k$ -equivariant diffeomorphism  $f: M \rightarrow M$  such that  $p \circ f = q$ .*

The holomorphic and affine variants of Theorem 1.1 are discussed in Section 2. Proposition 2.2 allows one to estimate the number of inequivalent Calabi's reductions of the same order of a given flat manifold  $M$  (cf. Remark 2.2).

If  $M$  is a closed homologically injective  $S^1$  manifold then Theorem 1.1 implies that appropriate automorphisms of  $\pi_1(M)$  can be lifted to diffeomorphisms so that  $\text{Diff}(M)$  has infinitely many connected components (see Corollary 1.2). Note that the problem when a given automorphism of the fundamental group can be lifted to a diffeomorphism was solved for some special (mainly aspherical) manifolds only (as hyperbolic manifolds [10], [11], infranilmanifolds [8], some 3-manifolds [5], [15]). The holomorphic variant of Corollary 1.2 can be derived from Theorem 2.1.

A concrete example is studied in Section 5. We show that there are two nonisomorphic fibrations  $p, q: K \times S^1 \rightarrow S^1$  of order 2 on the product of the Klein bottle  $K$  by  $S^1$ . This example is simpler and more natural than the earlier known ones (cf. [14]).

The following notation will be used. The letter  $I$  will denote the canonical identification of the fundamental group with the corresponding deck group. If  $M$  is a manifold, then  $\tilde{M}$  will denote the universal covering space of  $M$ , and  $\Gamma$  the deck group of  $M$ . If  $G$  is a group,  $g_1, \dots, g_k \in G$ , then  $\langle g_1, \dots, g_k \rangle$  will denote the subgroup of  $G$  generated by  $g_1, \dots, g_k$ , and  $Z(G)$  the center of  $G$ . By  $H_1(\Gamma)$  we will denote  $\Gamma/[\Gamma, \Gamma] \approx H_1(M)$ . The symbol  $FH_1(M)$  (or  $FH_1(\Gamma)$ ) stands for  $H_1(M)$  divided by its torsion subgroup and  $\pi: H_1(M) \rightarrow FH_1(M)$  is the canonical projection. Note that  $FH_1(M)$  is isomorphic to  $Z^{b_1(M)}$ , where  $b_1(M)$  is the first Betti number of  $M$ .

**1. On isomorphisms between fibrations associated with a smooth torus action.** The aim of this section is to prove Theorem 1.1.

**DEFINITION 1.1.** Let  $p: M \rightarrow T^k$  be a fibration on a smooth  $T^k$  manifold  $M$ . The fibration  $p$  is  $T^k$ -equivariant if  $p(tx)p(x)^{-1}$  depends on  $t \in T^k$  only. A  $T^k$ -equivariant fibration  $p: M \rightarrow T^k$  is associated with the  $T^k$  action if  $p_* \circ \text{ev}_*$  is a monomorphism.

**Remark 1.1.** (a) The fibration  $p$  will be  $T^k$ -equivariant in the usual meaning if we take the  $T^k$  action  $T^k \times T^k \ni (t, u) \rightarrow p(tx_0)u \in T^k$  (where  $x_0 \in p^{-1}(1)$ ) on  $T^k$ .

(b) Let  $q: M \rightarrow T^k$  be a smooth fibration. Then there is a  $T^k$  action on  $M$  such that  $q$  is associated with this action iff the structure group of  $q$  can be reduced to a finite group (see e.g. [12, § 2]; see also the proof of Theorem 1B in [16]).

Under the canonical identification  $I$  of  $\pi_1(M, x)$  with the deck group  $\Gamma$  the homotopy class of the orbit  $\sigma \in \pi_1(M, x)$  of an  $S^1$  action  $\varphi_t: M \rightarrow M, t \in [0, 1]$ , corresponds to the deck transformation  $\tilde{\varphi}_1 \in \Gamma$ . Recall that an element  $\gamma \in \Gamma$  is

identified with  $\gamma' \in \pi_1(M, x)$ , where  $\gamma'$  is represented by the projection of any curve  $d: [0, 1] \rightarrow \tilde{M}$  such that  $d(0) = \tilde{x}$ ,  $d(1) = \gamma(\tilde{x})$ . Here  $\tilde{x}$  is a point above  $x$ . For every  $t \in \mathbf{R}$  the group  $\Gamma$  commutes with  $\tilde{\varphi}_t$ , because  $\tilde{\varphi}_0 = \text{id}$  commutes with the discrete group  $\Gamma$ . In particular,  $\tilde{\varphi}_1 \in Z(\Gamma)$  and  $\sigma \in Z(\pi_1(M))$ .

Let  $f: X \rightarrow Y$  be a continuous map, let  $\tilde{X}$ ,  $\tilde{Y}$  denote the universal covering spaces of  $X$  and  $Y$ , let  $\Gamma_1$  denote the deck group of  $X$ , and let  $\tilde{f}: \tilde{X} \rightarrow \tilde{Y}$  be a map covering  $f$ . For any  $x \in \tilde{X}$ ,  $\gamma \in \Gamma_1$  we have

$$(1) \quad \tilde{f}(\gamma x) = I_{f_*}(I^{-1}(\gamma))(\tilde{f}(x)).$$

**Proof of Theorem 1.1.** Let  $\varphi_t: M \rightarrow M$ ,  $t \in T^k$ , be the  $T^k$  action on  $M$  and let  $\tilde{\varphi}_t: \tilde{M} \rightarrow \tilde{M}$ ,  $t \in \mathbf{R}^k$ , be the  $\mathbf{R}^k$  action covering the  $T^k$  action. Identify  $T^k$  with  $\mathbf{R}^k/B$ , where  $B$  is a lattice in  $\mathbf{R}^k$ , and denote  $I(\text{im } p_* \circ \text{ev}_*) \subseteq B$  by  $A$ . Let  $\tilde{p}$ ,  $\tilde{q}: \tilde{M} \rightarrow \mathbf{R}^k$  be the fibrations covering  $p$  and  $q$  respectively. We have  $p_* \circ \text{ev}_* = q_* \circ \text{ev}_*$ . Using this and the  $T^k$ -equivariance of  $p$  and  $q$  it is easy to verify that

$$(2) \quad \tilde{p}(\tilde{\varphi}_t(x)) - \tilde{p}(x) = h(t) = \tilde{q}(\tilde{\varphi}_t(x)) - \tilde{q}(x)$$

for  $t \in \mathbf{R}^k$ ,  $x \in \tilde{M}$ , and for some linear map  $h: \mathbf{R}^k \rightarrow \mathbf{R}^k$ . It is also easy to see that  $h(B) = A$ . Since  $p_* \circ \text{ev}_*$  is a monomorphism,  $h$  is an isomorphism and  $h^{-1}(A) = B$ . Consider now  $\tilde{\beta}: \tilde{M} \ni x \rightarrow h^{-1}(\tilde{q}(x) - \tilde{p}(x)) \in \mathbf{R}^k$ . Applying (1) and the assumption that  $\text{im}[p_* - q_*] \subseteq \text{im } p_* \circ \text{ev}_*$  we have

$$\tilde{\beta}(\gamma x) - \tilde{\beta}(x) = h^{-1}(I_{q_*}(I^{-1}(\gamma)) - I_{p_*}(I^{-1}(\gamma))) \in h^{-1}(A) \subseteq B$$

for every  $\gamma \in \Gamma$ . It follows that there is a map  $\beta: M \rightarrow T^k$  covered by  $\tilde{\beta}$ . By (2),  $\tilde{\beta} \circ \tilde{\varphi}_t = \tilde{\beta}$  for  $t \in \mathbf{R}^k$  so that

$$(3) \quad \beta \circ \varphi_t = \beta \quad \text{for } t \in T^k.$$

Consider  $f, g: M \rightarrow M$  given by

$$(4) \quad f(x) = \varphi_{\rho(x)}(x), \quad g(x) = \varphi_{-\rho(x)}(x).$$

Then  $f \circ g = g \circ f = \text{id}_M$  so that  $f$  is a  $T^k$ -equivariant diffeomorphism. By (2),  $\tilde{p}(\tilde{\varphi}_{\tilde{\beta}(x)}(x)) = \tilde{p}(x) + h(\tilde{\beta}(x)) = \tilde{q}(x)$  and accordingly  $p \circ f = q$ . This finishes the proof of Theorem 1.1.

**COROLLARY 1.1.** *Let  $\psi: \mathbf{Z}^k \rightarrow \mathbf{Z}^k$  be a given monomorphism. There are no more than  $(\text{card}(\mathbf{Z}^k/\text{im } \psi))^{b_1(M)-k}$  isomorphism classes of fibrations  $p: M \rightarrow T^k$  satisfying  $p_* \circ \text{ev}_* = \psi$  that are associated with a given  $T^k$  action on  $M$ .*

**Proof.** We give the proof for  $k = 1$  only leaving the verification of the general case to the reader. Every homomorphism  $\alpha: \pi_1(M) \rightarrow \pi_1(S^1)$  factors through  $FH_1(M) \approx \mathbf{Z}^{b_1(M)}$  so that there is a canonical isomorphism  $\text{HOM}(\pi_1(M), \mathbf{Z}) \approx \text{HOM}(\mathbf{Z}^{b_1(M)}, \mathbf{Z})$ . Let  $\psi(\sigma) = m$  and let  $E(m)$  denote the set of all epimorphisms  $\lambda: FH_1(M) \rightarrow \mathbf{Z}$  such that  $\lambda(\sigma) = m$ . Let  $s = b_1(M) - 1$  and let  $FH_1(M) = \mathbf{Z} \times \mathbf{Z}^s$  be a fixed direct sum decomposition such that the image

of  $\sigma$  in  $FH_1(M)$  is contained in  $\mathbf{Z}$ . Consider the equivalence relation  $\sim$  on  $E(m)$  defined by the requirement that  $\lambda_1 \sim \lambda_2$  if and only if  $\text{im}[\lambda_1 - \lambda_2] \subseteq m\mathbf{Z}$ . By Theorem 1.1 we have no more isomorphism classes of our fibrations than the elements of  $E(m)/\sim \subseteq \mathbf{Z}^s/m\mathbf{Z}^s$ . This completes the proof of Corollary 1.1.

**Remark 1.2.** Let  $p, q: M \rightarrow S^1$  be two  $S^1$ -equivariant fibrations and let  $\sigma \in \pi_1(M)$  be the homotopy class of the orbit. Assume that  $p_*(\sigma) = q_*(\sigma) = m$ ,  $\text{im}[p_* - q_*] \subseteq m\mathbf{Z}$  and  $p(x_0) = q(x_0)$ . Let  $\beta$  and  $f$  be as above. Then

$$(5) \quad f_*(\gamma) = \sigma^{\beta_*(\gamma)}\gamma \quad \text{for every } \gamma \in \pi_1(M).$$

This equality can be verified as follows. Consider the diffeomorphism  $\tilde{f}: \tilde{M} \rightarrow \tilde{M}$  given by  $\tilde{f}(z) = \tilde{\varphi}_{\tilde{\beta}(z)}(z)$ . For the notational convenience the same letter will denote an element of the fundamental group and the corresponding deck transformation. Let  $\gamma \in \pi_1(M)$ . By (1),  $\tilde{\beta}(\gamma(z)) = \tilde{\beta}(z) + \beta_*(\gamma)$ . As  $\tilde{\varphi}_1$  commutes with  $\Gamma$  it follows that

$$\tilde{f}(\gamma z) = (\tilde{\varphi}_{\beta_*(\gamma)} \tilde{\varphi}_{\tilde{\beta}(z)})(z) = (\tilde{\varphi}_{1_*^{\beta_*(\gamma)}} \gamma \tilde{\varphi}_{\tilde{\beta}(z)})(z) = (\tilde{\varphi}_{1_*^{\beta_*(\gamma)}} \gamma)(\tilde{f}(z)).$$

But  $\tilde{\varphi}_1 \in \Gamma$  is canonically identified with  $\sigma$  (see above). By (1) again,  $\tilde{f}(\gamma z) = f_*(\gamma)(\tilde{f}(z))$ . Hence  $f_*(\gamma) = \sigma^{\beta_*(\gamma)}\gamma$ , which is our claim.

**Remark 1.3.** Let  $p: M \rightarrow S^1$  be an  $S^1$ -equivariant fibration of order  $r$ . One can check (for details we refer to [12]), that *an  $r$ -fold covering space of  $M$  is equivariantly diffeomorphic to  $F \times S^1$ , where  $F$  is a fiber of  $p$ .*

Let  $M$  be a homologically injective  $S^1$  manifold. This means that  $\text{ev}_*: H_1(T) \rightarrow H_1(M)$  is a monomorphism. If  $b_1(M) > 1$ , then the argument given in the proof of Corollary 1.1 shows that we have two different homomorphisms  $\lambda, \mu: \pi_1(M, x_0) \rightarrow \mathbf{Z}$  such that  $m = \lambda(\sigma) = \mu(\sigma) \neq 0$  and  $\text{im}[\lambda - \mu] \subseteq m\mathbf{Z}$ , where  $\sigma$  is as above. By [12, Theorem 1.1], there are  $S^1$ -equivariant fibrations  $p, q: M \rightarrow S^1$  such that  $p_* = \lambda$ ,  $q_* = \mu$  and  $p(x_0) = q(x_0)$ . According to Theorem 1.1 there is a diffeomorphism  $f: M \rightarrow M$  such that  $p \circ f = q$ . We have  $f_*(\gamma) = \sigma^{\beta_*(\gamma)}\gamma$  for  $\gamma \in \pi_1(M)$  (see Remark 1.2) so that from the nontriviality of  $\beta_*$  it follows that the homomorphism  $k \rightarrow f_*^k \in \text{Aut}(\pi_1(M, x_0))$  is a monomorphism. This shows the following.

**COROLLARY 1.2.** *Let  $M$  be a closed manifold that admits a homologically injective  $S^1$  action and let  $b_1(M) > 1$ . Then the group of all diffeomorphisms of  $M$  has infinitely many connected components.*

**2. The affine case and the holomorphic case.** In this section the same notation as in Section 1 will be used. The first result we want to prove is the holomorphic variant of Theorem 1.1.

**THEOREM 2.1.** *Let  $p, q: M \rightarrow T^{2n}$  be two  $T^{2n}$ -equivariant holomorphic fibrations associated with a homologically injective holomorphic torus action on*

a complex manifold  $M$ . Assume that  $p_* \circ \text{ev}_* = q_* \circ \text{ev}_*$  and  $\text{im}[p_* - q_*] \subseteq \text{im } p_* \circ \text{ev}_*$ . Then there is a biholomorphic and  $T^{2n}$ -equivariant map  $f: M \rightarrow M$  such that  $p \circ f = q$ .

PROOF. Let  $h, \varphi_t, \beta, \tilde{\beta}, h, f$  be as in the proof of Theorem 1.1. We have  $f = \mu \circ \beta'$ , where  $\beta': M \ni x \rightarrow (\beta(x), x) \in T^{2n} \times M, \mu: T^{2n} \times M \ni (t, x) \rightarrow \varphi_t(x) \in M$ . It suffices to check that the map  $\tilde{\beta} = h^{-1}(\tilde{q} - \tilde{p})$  is holomorphic. This follows from the assumption that  $p$  and  $q$  are holomorphic and from the equality  $h(t) = \tilde{p}(\tilde{\varphi}_t(x_0)) - \tilde{p}(x_0)$ , where  $x_0$  is a point of  $M$ .

Remark 2.1. The question of the existence of a holomorphic fibration that is associated with a holomorphic torus action was considered in [1], [2], [9].

Before we state the next result we need some definitions. By a *parallel flow* on a manifold  $M$  we mean a flow generated by a parallel vector field. A  $T^k$  action on  $M$  is *parallel* if each flow induced by the action of a one-parameter subgroup of  $T^k$  is parallel. Every isometric  $T^k$  action on a nonpositive curvature manifold is parallel (see e.g. [6, Corollary 4.2]). An argument similar to that given in the proof of Theorem 2.1 shows the following.

THEOREM 2.2. Let  $M$  be a closed manifold, let  $\nabla$  be a connection on  $M$ , and let  $\nabla_0$  be the standard flat connection on  $T^k$ . Let  $p, q: M, \nabla \rightarrow T^k, \nabla_0$  be two  $T^k$ -equivariant affine fibrations associated with a parallel  $T^k$  action on  $M$ . If  $p_* \circ \text{ev}_* = q_* \circ \text{ev}_*$  and  $\text{im}[p_* - q_*] \subseteq \text{im } p_* \circ \text{ev}_*$ , then there is an affine and  $T^k$ -equivariant diffeomorphism  $f$  such that  $p \circ f = q$ .

Remark 2.2. If  $M$  is a closed flat manifold such that  $b_1(M) > 0$ , then there is an isometric  $S^1$  action on  $M$  (see e.g. [4, 7]). Every epimorphism  $\lambda: \pi_1(M) \rightarrow \mathbb{Z}$  can be lifted to an affine  $S^1$ -equivariant fibration  $p: M \rightarrow S^1$ . The resulting splitting of  $M$  is said to be *Calabi's reduction* of  $M$  (cf. [17, Theorem 3.6.3], [13]). There are infinitely many Calabi's reductions of  $M$ . However, if we fix the order  $r$ , then we have no more than  $r^{b_1(M)-1}$  of them.

3. An example. In this section we show how the results of the paper can help to classify associated fibrations of a fixed order  $m$  on a given  $S^1$  manifold  $M$ . We consider the simplest nontrivial example when  $M$  is the product of the Klein bottle  $K$  by  $S^1$  and  $m = 2$ .

First we define an appropriate affine  $S^1$  action on  $M$ . In order to do that recall that  $K$  can be represented as  $\mathbb{R}^2/\Gamma_1$ , where  $\Gamma_1$  is the subgroup of the isometry group of  $\mathbb{R}^2$  generated by  $a(x, y) = (x + 1, y), b(x, y) = (-x, y + \frac{1}{2})$ . Then the one-parameter group of translations  $\tilde{\psi}_t: \mathbb{R}^2 \rightarrow \mathbb{R}^2, \tilde{\psi}_t(x, y) = (x, t + y)$ , commutes with  $\Gamma_1$  and consequently determines an  $S^1$  action  $\psi_t: K \rightarrow K, t \in [0, 1]$ . We have  $\tilde{\psi}_1 = b^2$  and  $[a, b] = a^2$ .

Consider  $M = K \times S^1$  with the  $S^1$  action  $\varphi_t = \psi_t \times \text{id}_{S^1}$ . It is obvious that  $\tilde{\varphi}_1 = (b \times \text{id}_{S^1})^2$ . The group  $\pi_1(M)$  is generated by  $a, b \in \pi_1(K)$  corresponding to

$a$  and  $b$  and by the generator  $u$  of  $\pi_1(S^1)$ . If  $u_0, b_0$  are the images of  $u$  and  $b$  in  $FH_1(M)$ , then  $FH_1(M) = \langle b_0, u_0 \rangle$ . By Corollary 1.1 we have no more than two nonisomorphic fibrations of order 2 that are associated with the action  $\varphi_1$ .

Take the projections  $\lambda_1, \lambda_2: FH_1(M) \rightarrow \langle b_0 \rangle$  induced by the direct sum decompositions  $FH_1(M) = \langle b_0 \rangle \oplus \langle u_0 \rangle$  and  $FH_1(M) = \langle b_0 \rangle \oplus \langle b_0 + u_0 \rangle$ . The homomorphisms  $\mu_1, \mu_2: \pi_1(M) \rightarrow \langle b_0 \rangle \approx \mathbf{Z}$  induced by  $\lambda_1, \lambda_2$  can be lifted to  $S^1$ -equivariant fibrations  $p, q: M \rightarrow S^1$ . Let  $\pi: \pi_1(M) \rightarrow FH_1(M)$  be the canonical projection. Then  $\pi^{-1}(\langle u_0 \rangle)$  is the fundamental group of a fiber of  $p$  and  $\pi^{-1}(\langle u_0 + b_0 \rangle)$  is the fundamental group of a fiber of  $q$ .

As  $u$  and  $a$  commute it follows that  $\pi^{-1}(\langle u_0 \rangle) = \langle u, a \rangle \approx \mathbf{Z} \oplus \mathbf{Z}$  and accordingly the fibers of  $p$  are diffeomorphic to  $T^2$ . The group  $\pi^{-1}(\langle b_0 + u_0 \rangle) = \langle bu, a \rangle$  is not abelian. Hence the fibers of  $p$  are not even homotopy equivalent to the fibers of  $q$ . Note that  $(bu)^2 = \tilde{\varphi}_1 u$  commutes with  $a$  and consequently the fibers of  $q$  are diffeomorphic to the Klein bottle.

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