

## COMPOSITION OPERATORS ON THE BERGMAN SPACE

BY

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**1. Introduction.** Let  $\varphi$  be a non-constant analytic function mapping the open unit disk  $U$  into itself. If  $f$  is analytic in  $U$ , write  $C_\varphi(f) = f \circ \varphi$ . It has been shown by Ryff [6] that  $C_\varphi$  is a bounded operator on  $H^p$ ,  $0 < p < \infty$ . Composition operators on  $H^p$  for  $p \geq 1$  were studied in depth by Schwartz [8] and by Shapiro and Taylor [9]. We present here\* a study of composition operators on the Bergman space  $A^2$  which demonstrates the interesting interrelation between the operator-theoretic properties of  $C_\varphi$  and the geometric- and function-theoretic properties of the inducing function  $\varphi$ . The Bergman space  $A^2$  is the Hilbert space of functions  $f$  analytic in  $U$ , satisfying

$$\|f\|^2 = \frac{1}{\pi} \int \int_U |f(z)|^2 dA < \infty,$$

where  $A$  is the planar Lebesgue measure on the unit disk. Furthermore,

$$(f, g) = \frac{1}{\pi} \int \int_U f(z) \overline{g(z)} dA$$

gives the form of the inner product. Of particular interest, the function

$$K_\zeta(z) = \frac{1}{(1 - \bar{\zeta}z)^2} = \sum_{n=0}^{\infty} (n+1)(\bar{\zeta}z)^n$$

for fixed  $\zeta \in U$  serves as the reproducing kernel for the space; that is, if  $f \in A^2$  and  $|\zeta| < 1$ , then  $f(\zeta) = (f, K_\zeta)$ . As a consequence we note the following computation:

$$\|K_\zeta\|^2 = (K_\zeta, K_\zeta) = K_\zeta(\zeta) = \frac{1}{(1 - |\zeta|^2)^2}.$$

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The functions  $s_n(z) = \sqrt{n+1}z^n$  for  $n = 0, 1, 2, \dots$  serve as an orthonormal basis for the space  $A^2$ . For a more thorough discussion of  $A^2$ , see Hille [5], p. 325-338, or Bergman [1].

**2. Boundedness and norm estimates.** We first note that if  $C_\varphi$  is a bounded operator on  $A^2$ , then  $\|C_\varphi\| \geq 1$ , since any constant function has  $\lambda = 1$  as an eigenvalue. Without much difficulty, we show that two particular classes of analytic functions  $\varphi: U \rightarrow U$  will induce bounded operators on  $A^2$ . This leads to a study of the general case.

By the lemma of H. A. Schwarz, an analytic function  $\varphi: U \rightarrow U$  with  $\varphi(0) = 0$  satisfies the inequality  $|\varphi(z)| \leq |z|$  for all  $z \in U$ , and  $|\varphi'(0)| \leq 1$ . We shall refer to such a function as a Schwarz function.

**THEOREM 2.1.** *If  $\varphi: U \rightarrow U$  is a non-constant Schwarz function, then  $C_\varphi$  is a bounded operator on  $A^2$ , and  $\|C_\varphi\| = 1$ .*

**Proof.** For  $f \in A^2$ , Littlewood's Subordination Principle (see [4], p. 10) implies

$$\int_0^{2\pi} |(f \circ \varphi)(re^{it})|^2 dt \leq \int_0^{2\pi} |f(re^{it})|^2 dt.$$

The conclusion follows by integrating with respect to  $rdr$ .

**THEOREM 2.2.** *If  $\varphi: U \rightarrow U$  is a non-constant conformal map such that*

$$d \equiv \inf_{|z| < 1} |\varphi'(z)| > 0,$$

*then  $C_\varphi$  is a bounded operator on  $A^2$  and  $1 \leq \|C_\varphi\| \leq 1/d$ .*

**Proof.** If  $f \in A^2$ , we use the fact that  $|\varphi'(z)|^2$  is the Jacobian of the transformation  $\varphi$  to write

$$\frac{1}{\pi} \int \int_U |(f \circ \varphi)(z)|^2 dA \leq (d^{-2}) \frac{1}{\pi} \int \int_U |f(\varphi(z))|^2 |\varphi'(z)|^2 dA \leq (d^{-2}) \|f\|^2.$$

**THEOREM 2.3.** *If  $\varphi: U \rightarrow U$  is a non-constant analytic function, then  $C_\varphi$  is a bounded operator on  $A^2$  and*

$$1 \leq \|C_\varphi\| \leq \frac{1 + |\varphi(0)|}{1 - |\varphi(0)|}.$$

**Proof.** Let  $k(z) = (\varphi(0) - z)/(1 - \overline{\varphi(0)}z)$ , a conformal map of  $U$  onto itself with  $k(\varphi(0)) = 0$ , and note that  $k$  is its own composition inverse,

$$k^{-1} = k \quad \text{with} \quad \inf_{|z| < 1} |k'(z)| = \frac{1 - |\varphi(0)|}{1 + |\varphi(0)|} > 0.$$

Now write  $\psi = k \circ \varphi$ , so that  $\psi: U \rightarrow U$  is a Schwarz function and  $\varphi = k^{-1} \circ \psi$ . Thus, for  $f \in A^2$  by Theorems 2.1 and 2.2 we have

$$\|f \circ \varphi\| = \|f \circ k^{-1} \circ \psi\| \leq \|f \circ k^{-1}\| \leq \|f\| \frac{1 + |\varphi(\mathbf{0})|}{1 - |\varphi(\mathbf{0})|}.$$

We next see that the reproducing kernel  $K_\zeta$  enables us to obtain a better lower bound for the norm of a composition operator.

**THEOREM 2.4.** *If  $C_\varphi$  is a composition operator on  $A^2$ , then*

$$\sup_{z \in U} \frac{1 - |z|^2}{1 - |\varphi(z)|^2} \leq \|C_\varphi\|.$$

**Proof.** As an estimate on the growth of a function  $f$  in  $A^2$  (see [5], p. 326) we have

$$(2.1) \quad |f(z)| \leq \|f\| (1 - |z|^2)^{-1}.$$

For fixed  $\zeta$  in  $U$  consider  $K_{\varphi(\zeta)}(z)$  noting that

$$(2.2) \quad \|K_{\varphi(\zeta)}\|^2 = K_{\varphi(\zeta)}(\varphi(\zeta)) = \frac{1}{(1 - |\varphi(\zeta)|^2)^2}.$$

From (2.1) applied to  $K_{\varphi(\zeta)} \circ \varphi$  and (2.2) we find that

$$K_{\varphi(\zeta)}(\varphi(\zeta)) \leq \|C_\varphi\| \frac{1}{1 - |\varphi(\zeta)|^2} \frac{1}{1 - |\zeta|^2}.$$

Since  $\zeta$  was chosen arbitrarily, the result follows.

**COROLLARY 2.1.**  *$\|C_\varphi\| = 1$  if and only if  $\varphi$  is a Schwarz function.*

**3. Compact operators.** An operator  $T$  on a Banach space is called *compact* if it takes the unit ball into a set whose closure is compact. This is equivalent to the statement that the image under  $T$  of every bounded sequence has a convergent subsequence. We present first an equivalent condition for a composition operator to be compact. The proof differs from that of Schwartz for  $H^p$  [8] only by using (2.1) instead of the analogous inequality for  $H^p$  and is omitted.

**THEOREM 3.1.** *The operator  $C_\varphi$  is compact on  $A^2$  if and only if, for every sequence  $f_n \rightarrow f$  uniformly on compact subsets of  $U$  and bounded in norm, the sequence  $C_\varphi f_n \rightarrow C_\varphi f$  strongly in  $A^2$ .*

An application of this theorem to the orthonormal system  $s_n(z)$  yields a growth restriction on  $\|\varphi^n\|$ .

**COROLLARY 3.1.** *If  $C_\varphi$  is compact on  $A^2$ , then  $\|\varphi^n\| = o(n^{-1/2})$ .*

**THEOREM 3.2.** *If  $\varphi: U \rightarrow U$  is a non-constant analytic function with*

$$\iint_U (1 - |\varphi(z)|^2)^{-2} dA < \infty,$$

*then  $C_\varphi$  is a compact operator on  $A^2$ .*

Proof. Let  $\{f_n\}$  be a sequence with  $\|f_n\| \leq 1$  for all  $n$ , and  $f_n \rightarrow 0$  uniformly on compact subsets of  $U$ . It will suffice to show that  $\|C_\varphi f_n\| \rightarrow 0$ . Let  $\varepsilon > 0$  and choose a compact subdisk  $K$  of  $U$  large enough that

$$\frac{1}{\pi} \iint_{U-K} (1 - |\varphi(z)|^2)^{-2} dA < \frac{\varepsilon}{2}.$$

Since  $\varphi$  maps compact sets into compact sets, it is possible to find an  $n_0$  such that  $|f_n(\varphi(z))|^2 < \varepsilon/2$  for  $n > n_0$  and  $z \in K$ . Using these estimates and (2.1) applied to  $U-K$ , we have  $\|C_\varphi f_n\|^2 < \varepsilon$  for  $n > n_0$ .

Later we shall see that this condition is equivalent to the condition that  $C_\varphi$  is a Hilbert-Schmidt operator on  $A^2$ .

**COROLLARY 3.2.** *If  $\|\varphi\|_\infty < 1$ , then  $C_\varphi$  is compact on  $A^2$ .*

**THEOREM 3.3.** *Suppose that  $\varphi$  maps  $U$  univalently onto  $R \subset U$  and that the closure of  $R$  contains only one point  $w_0$  on the unit circle. If*

$$\lim_{n \rightarrow \infty} |\varphi'(z_n)| = \infty, \quad \text{whenever } \lim_{n \rightarrow \infty} \varphi(z_n) = w_0,$$

then  $C_\varphi$  is a compact operator on  $A^2$ .

Proof. Let  $\{f_n\}$  be a sequence with  $\|f_n\| \leq 1$  for all  $n$ , and  $f_n \rightarrow 0$  uniformly on compact subsets of  $U$ . Let  $\psi = \varphi^{-1}$  be the composition inverse of  $\varphi$ . By hypothesis,  $\lim |\psi'(w)| = 0$ , where  $w \rightarrow w_0$ ,  $w \in R$ . For given  $\varepsilon > 0$ , choose  $H = \{w : |w - w_0| < \delta\} \cap R$  so that  $|\psi'(w)|^2 < \varepsilon/2$  for  $w \in H$ . The set  $R-H$  is contained in a compact set in  $U$ , and we can find  $n_0$  such that  $|f_n(w)|^2 < \varepsilon/2$  for  $n > n_0$  and for  $w \in R-H$ . Thus, for  $n > n_0$ ,

$$\begin{aligned} \|C_\varphi f_n\|^2 &= \frac{1}{\pi} \iint_{R-H} |f_n(w)|^2 |\psi'(w)|^2 dA(w) + \frac{1}{\pi} \iint_H |f_n(w)|^2 |\psi'(w)|^2 dA(w) \\ &\leq \frac{\varepsilon}{2} \cdot \frac{1}{\pi} \iint_R |\psi'(w)|^2 dA(w) + \frac{\varepsilon}{2} \|f_n\|^2 \leq \varepsilon. \end{aligned}$$

Here we used  $|\psi'(w)|^2$  as the Jacobian of the transformation  $\psi$ , and  $\psi(R) \subset U$ . By Theorem 3.1, the operator  $C_\varphi$  is compact on  $A^2$ .

A theorem of Carathéodory asserts that every conformal mapping of  $U$  onto the interior of a Jordan curve has a one-to-one continuous extension to the closed disk  $\bar{U}$ . This suggests a simpler case.

**COROLLARY 3.3.** *Suppose  $\varphi$  maps  $U$  univalently onto a region  $R \subset U$  whose boundary is a Jordan curve touching the unit circle only at  $w_0$ . If*

$$\lim_{z \rightarrow z_0} |\varphi'(z)| = \infty \quad \text{for } w_0 = \varphi(z_0),$$

then  $C_\varphi$  is compact on  $A^2$ .

An analytic function  $\varphi: U \rightarrow U$  is said to have an *angular derivative* at a point  $z^*$  on the unit circle if there are a  $w^*$  also on the unit circle and a complex number  $c$  such that, for any triangle  $\Delta$  whose interior lies wholly within  $U$  and has a vertex at  $z^*$  (see [2], p. 32), we have

$$\lim \frac{\varphi(z) - w^*}{z - z^*} = c \quad \text{as } z \rightarrow z^* \text{ with } z \in \Delta.$$

Such a function is angle preserving at  $z^*$  with respect to the radii, and the closure of  $\varphi(U)$  must contain  $w^*$ . Thus  $\varphi(U)$  touches the unit circle rather gently at  $w^*$ . Shapiro and Taylor [9] have shown that this prevents the operator  $C_\varphi$  from being compact on  $H^2$ . A similar result is valid for operators on  $A^2$ .

**THEOREM 3.4.** *If  $\varphi: U \rightarrow U$  has the angular derivative at some point on the unit circle, then the operator  $C_\varphi$  is not compact on  $A^2$ .*

*Proof.* The conclusion will follow from the existence of a sequence  $\{f_n\}$ , bounded in norm, with  $f_n \rightarrow 0$  uniformly on compact subsets of  $U$  and  $\|C_\varphi f_n\|$  bounded away from zero. We may assume that  $z^* = 1$  and  $w^* = 1$  without loss of generality, so that

$$(3.1) \quad \sup_{0 < x < 1} \frac{|1 - \varphi(x)|}{1 - x} \equiv M < \infty.$$

For  $f \in A^2$  and  $r < 1$ , integration of the Fejér-Riesz inequality for  $f_r(z) = f(rz)$  yields

$$(3.2) \quad \int_0^1 \int_{-r}^r |f(x)|^2 dx dr \leq \frac{\pi}{2} \|f\|^2.$$

We define a family of functions by

$$f_a(z) = (1 - a)^{1/2} (1 - z)^{-(a+1)/2} \quad \text{for } 1/2 < a < 1.$$

Using an estimate found in Duren [4], p. 65, we see that

$$\|f_a\|^2 \leq C_a, \quad \text{where } C_a = \int_{-\infty}^{\infty} (1 + 2t^2 \pi^{-2})^{-(a+1)/2} dt.$$

Since  $1 + 2t^2 \pi^{-2} > 1$  and  $a > 1/2$ , it follows that  $C_a \leq C_{1/2} < \infty$ . Hence  $\{f_a\}$  is uniformly bounded in norm. Clearly,  $f_a \rightarrow 0$  uniformly on compact subsets of  $U$  as  $a \rightarrow 1$ . We need only to show that  $\|C_\varphi f_a\|$  is bounded away from zero.

First note that direct computation gives

$$(3.3) \quad \int_0^1 \int_0^r |f_a(x)|^2 dx dr = 1.$$

Now, from (3.1) and (3.2) we find that

$$\frac{\pi}{2} \|C_\varphi f_\alpha\|^2 \geq M^{-(\alpha+1)} \int_0^1 \int_0^r |f_\alpha(x)|^2 dx dr.$$

Therefore, by (3.3),

$$\frac{\pi}{2} \|C_\varphi f_\alpha\|^2 \geq M^{-(\alpha+1)},$$

which is bounded away from zero. It follows that  $C_\varphi$  is not compact.

This proof required only the estimate (3.1); however, a result of Julia and Carathéodory ([2], p. 32) shows that this implies the existence of the angular derivative at  $z^* = 1$ .

**COROLLARY 3.4.** *If  $\|\varphi\|_\infty = 1$  and  $\varphi' \in H^\infty$ , then the operator  $C_\varphi$  is not compact on  $A^2$ .*

**Proof.** Such a function  $\varphi$  has a Lipschitz continuous extension to the closed disk. If we assume that  $\varphi(1) = 1$ , then (3.1) holds, which is equivalent to  $\varphi$  having an angular derivative at  $z^* = 1$  by the remark above.

As examples, we note that  $\varphi_\alpha(z) = 1 - (1 - z)^\alpha$ ,  $0 < \alpha < 1$ , induces a compact operator by Corollary 3.3 while  $\psi_\beta(z) = (z + \beta - 1)/\beta$ ,  $\beta > 0$ , induces a non-compact operator by Theorem 3.4. In both cases the range touches the unit circle at  $z = 1$ . It may seem noteworthy that  $\varphi_\alpha$  takes values inside a polygon inscribed in the unit circle. However, an example in Section 5 will show that compact operators may be induced by functions  $\varphi: U \rightarrow U$  which map onto a Jordan domain whose boundary touches the unit circle smoothly, i.e., with continuously turning tangent.

**4. Hilbert-Schmidt operators.** An operator  $T$  on an infinite-dimensional Hilbert space  $H$  is called a *Hilbert-Schmidt operator* if there exists an orthonormal basis  $\{g_n\}$  such that  $\sum \|Tg_n\|^2 < \infty$ . The Hilbert-Schmidt operators form a two-sided ideal in the ring of bounded operators on  $H$  and are a proper subset of the compact operators. The following characterization for Hilbert-Schmidt operators on  $A^2$  is similar to one derived for  $H^2$  by Shapiro and Taylor [9]:

**THEOREM 4.1.** *The operator  $C_\varphi$  is Hilbert-Schmidt on  $A^2$  if and only if*

$$\iint_U (1 - |\varphi(z)|^2)^{-2} dA < \infty.$$

**Proof.** Using the orthonormal basis  $s_n$ , and interchanging summation with integration, we have

$$\sum_{n=0}^{\infty} \|C_\varphi s_n\|^2 = \frac{1}{\pi} \iint_U \sum_{n=0}^{\infty} (n+1) |\varphi|^{2n} dA = \frac{1}{\pi} \iint_U (1 - |\varphi|^2)^{-2} dA.$$

The following result (see [9]) proves useful in studying ideals of composition operators:

**THEOREM 4.2.** *Let  $J$  be an ideal in the ring of bounded operators on  $A^2$ , and let  $\varphi$  and  $\psi$  be analytic functions mapping  $U$  into  $U$ , where  $\varphi$  is one-to-one. If  $C_\varphi \in J$  and  $\psi(U) \subset \varphi(U)$ , then  $C_\psi \in J$ .*

**THEOREM 4.3.** *If  $\varphi$  takes  $U$  into a polygon inscribed in the unit circle, then  $C_\varphi$  is a Hilbert-Schmidt operator on  $A^2$ .*

**Proof.** By Theorem 4.2, it suffices to assume that  $\varphi$  maps  $U$  univalently onto the inscribed polygon  $P$ . The question reduces to the integrability of  $(1 - |\varphi(z)|)^{-2}$  over the pre-image under  $\varphi$  of a subset at any vertex. If we assume that the vertex is at  $z = 1$  and that  $\varphi(1) = 1$ , a local mapping argument based on the reflection principle ([2], p. 104) shows that  $1 - \varphi(z) = (1 - z)^\alpha h(z)$ ,  $0 < \alpha < 1$ , on a relative neighborhood  $\Delta$  of 1, where  $h$  is analytic and bounded away from zero on  $\Delta$ . We may assume that  $|1 - z| < 1$  on  $\Delta$ . Thus

$$(4.1) \quad |1 - \varphi(z)|^{-2} \leq \delta^{-2} |1 - z|^{-2\alpha} \quad \text{for } z \in \Delta \text{ and some } \delta > 0.$$

By choosing  $\beta = \alpha + 1$ , so that  $2\alpha < \beta$  and  $1 < \beta < 2$ , and by using an estimate found in Duren [4], p. 65, we find that

$$\iint_{\Delta} |1 - z|^{-2\alpha} dA \leq \iint_U |1 - z|^{-\beta} dA \leq C \int_0^1 (1 - r)^{1-\beta} dr \leq C/2 - \beta.$$

Together with (4.1), this implies that

$$\iint_{\Delta} |1 - \varphi(z)|^{-2} dA < \infty.$$

Since values of  $\varphi$  are taken within the polygon  $P$ , there is a constant  $M > 0$  such that  $|1 - \varphi(z)| \leq M(1 - |\varphi(z)|)$  for  $z \in \Delta$ . This comparison completes the proof by showing that

$$\iint_{\Delta} (1 - |\varphi(z)|)^{-2} dA < \infty.$$

**5. A family of operators.** Shapiro and Taylor [9] considered composition operators induced by a particular family of functions. We consider the operators induced by this same family for the space  $A^2$  and make comparisons with the results for  $H^2$ .

Let  $f_\alpha(z) = z(-\log z)^\alpha$  for  $z \in \{z: \operatorname{Re} z > 0, |z| < 1\}$ , where both the logarithm and the power function have branch line the negative real axis. The important properties of  $f_\alpha$  ([9], p. 484-485) are the following:

For any  $\alpha > 0$ , there exist an  $\varepsilon_\alpha$ ,  $0 < \varepsilon_\alpha < 1$ , and also a set  $H(\varepsilon_\alpha) = \{z: z < \varepsilon_\alpha, \operatorname{Re} z > 0\}$ , such that

(i) the function  $f_\alpha$  maps  $H(\varepsilon_\alpha)$  univalently onto a Jordan domain  $R$  contained in  $|w-1| < 1$ , and the boundary of  $R$  touches the circle  $|w-1| = 1$  only at the origin;

(ii) the boundary of  $R$  touches the circle  $|w-1| = 1$  smoothly at the origin; i.e., in a neighborhood of the origin the tangent line turns continuously as the point of tangency moves along the curve;

(iii)  $f_\alpha$  extends continuously to the closure of  $H(\varepsilon_\alpha)$ .

Now, for a given  $\alpha > 0$ , let  $g_\alpha$  be a one-to-one conformal map of  $U$  onto  $H(\varepsilon_\alpha)$  with  $g_\alpha(1) = 0$ , and write

$$(5.1) \quad \varphi_\alpha(z) = 1 - f_\alpha(g_\alpha(z)) \quad \text{for } z \in U.$$

Thus,  $\varphi_\alpha(1) = 1$  and  $\varphi_\alpha$  maps  $U$  univalently onto a Jordan domain in  $U$  whose boundary touches the unit circle smoothly at  $z = 1$ .

**THEOREM 5.1.** *For any  $\alpha > 0$ ,  $C_{\varphi_\alpha}$  is a compact operator on  $A^2$ .*

**Proof.** By Corollary 3.3 it suffices to show that  $\lim_{z \rightarrow 1} |\varphi'_\alpha(z)| = \infty$  as  $z \rightarrow 1$ ,  $z \in U$ . Since  $g_\alpha$  can be extended conformally across the point  $z = 1$  by the reflection principle,  $|g'_\alpha(z)|$  is bounded away from 0 near  $z = 1$ . Thus, for some  $k > 0$ ,

$$\lim_{z \rightarrow 1} |\varphi'_\alpha(z)| \geq k \lim_{\zeta \rightarrow 0} |f'_\alpha(\zeta)| \geq k \lim_{\zeta \rightarrow 0} (-\log \zeta - \alpha) (-\log \zeta)^{\alpha-1} = \infty.$$

**THEOREM 5.2.** *For  $\alpha > 3/2$ ,  $C_{\varphi_\alpha}$  is a Hilbert-Schmidt operator on  $A^2$ ; for  $\alpha \leq 1$ ,  $C_{\varphi_\alpha}$  is not Hilbert-Schmidt.*

**Proof.** For convenience we omit the subscript  $\alpha$ . We determine whether  $C_\varphi$  is Hilbert-Schmidt by testing the convergence of the integral  $\iint_U (1 - |\varphi|)^{-2} dA$ . Since  $|\varphi| < 1$  except near  $z = 1$  and since  $g$  and its inverse extend conformally to a neighborhood of the origin, the question reduces to testing the convergence of the integral  $\iint (1 - |1 - f(z)|)^{-2} dA$  over some neighborhood of the origin intersected with  $H(\varepsilon)$ . Furthermore,  $f(z) = \overline{f(\bar{z})}$ , so we can consider the set

$$\Delta = \{z: 0 < |z| < \delta, 0 < \arg z < \pi/2\},$$

where  $\delta$  is chosen so small that, for  $0 < r < \delta$ ,

$$(5.2) \quad -\log r \geq 2\alpha + \pi/2,$$

$$(5.3) \quad r(-\log r)^{\alpha+1} \leq \min[2^{-\alpha}, 2^{-\alpha-1}\alpha/\pi].$$

For notational purposes we write  $z = re^{i\theta}$ ,  $u = \operatorname{Re} f(z)$ ,  $v = \operatorname{Im} f(z)$ , and  $\gamma = \arg(-\log z)$ . Thus we can write

$$u = r|-\log z|^\alpha \cos(\theta + \alpha\gamma) \quad \text{and} \quad v = r|-\log z|^\alpha \sin(\theta + \alpha\gamma).$$

On the set  $\Delta$ , we have  $\theta > 0$ , so  $\gamma < 0$  and, by (5.2) and the inequality  $\tan t \geq t$ , for  $0 \leq t < \pi/2$ , we obtain

$$0 < \theta + \alpha\gamma < \pi/2 \quad \text{and} \quad v = \operatorname{Im} f(z) > 0.$$

Recall another useful inequality, i.e.

$$(5.4) \quad t \geq \sin t \geq 2t/\pi \quad \text{for } 0 \leq t \leq \pi/2.$$

By applying (5.4) first to  $\theta + \alpha\gamma$  and then to  $(-\gamma)$ , we can show

$$(5.5) \quad \cos(\theta + \alpha\gamma) \geq \frac{2\alpha}{\pi} \frac{\theta}{|-\log z|}.$$

By our initial requirement (5.2) we have the estimate

$$(5.6) \quad -\log r \leq |-\log z| \leq 2(-\log r).$$

From (5.5), (5.6) and (5.3) we can show that

$$\frac{u}{v^2} \geq \frac{2\alpha}{\pi} \frac{1}{r \cdot 2^{\alpha+1} (-\log r)^{\alpha+1}} \geq 2.$$

This, together with (5.6) and (5.3), yields  $2v^2 \leq u \leq 1$ . Therefore,

$$|1-f|^2 \leq (1-u)^2 + u/2 \leq (1-u/4)^2,$$

which implies that

$$1 - |1-f| \geq 1 - (1-u/4) = u/4.$$

Since  $1 - |1-f| \leq 1 - (1-u) = u$ , the question of integrability reduces to considering the integral of  $u^{-2}$  over  $\Delta$ , that is

$$\int_0^{\pi/2} \int_0^{\delta} \frac{1}{r(-\log r)^2 \cos^2(\theta + \alpha\gamma)} dr d\theta.$$

First note that, for the interval  $0 < \theta < \pi/4$ , there is a  $c$  such that  $1 > \cos(\theta + \alpha\gamma) > c > 0$ . Therefore, on this interval the integral exists if and only if  $\alpha > 1/2$ .

We next consider  $\pi/4 < \theta < \pi/2$ . From (5.5) and (5.6) we see that  $\cos(\theta + \alpha\gamma) \geq \alpha/4(-\log r)$ ; thus, the question reduces to the integral

$$\int_0^{\delta} \frac{1}{r(-\log r)^{2\alpha-2}} dr,$$

which exists if  $\alpha > 3/2$ . Thus the operator is Hilbert-Schmidt if  $\alpha > 3/2$ .

On the other hand, by estimates (5.4) and (5.6) we find that

$$\cos(\theta + \alpha\gamma) \leq \frac{\pi}{2} - \theta \left[ 1 - \frac{\alpha\pi}{2(-\log r)} \right] \equiv S, \quad \frac{dS}{dr} = \frac{\alpha\pi}{2r(-\log r)^2}.$$

If we assume that  $a \leq 1$ , we know  $(-\log r)^{2a} \leq (-\log r)^2$ . Since the integral

$$\int_{\pi/4}^{\pi/2} \int_{\pi/2-\theta}^{\epsilon} \frac{1}{S^2} dS d\theta$$

does not exist for  $a \leq 1$ , the operator is not Hilbert-Schmidt on  $A^2$ . This completes the proof.

**COROLLARY 5.1.** *There exist univalent maps  $\varphi$  such that  $C_\varphi$  is a Hilbert-Schmidt operator on  $A^2$ , yet  $\varphi$  takes  $U$  onto a Jordan domain whose boundary touches the unit circle smoothly.*

In their study of these same functions  $\varphi_\alpha$ , Shapiro and Taylor determined that  $C_{\varphi_\alpha}$  is a Hilbert-Schmidt operator on the space  $H^2$  if and only if  $\alpha > 2$ . Thus we have the following comparison:

**COROLLARY 5.2.** *There exist univalent maps  $\varphi$  such that  $C_\varphi$  is a Hilbert-Schmidt operator on  $A^2$ , yet is not Hilbert-Schmidt on  $H^2$ .*

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