

Lifting of vector fields and diffeomorphisms between manifolds*

by NICOLETTA GIANNICO (Pisa)

Abstract. In Section 1 we extend a result obtained by Arnold (see [1]) on liftable holomorphic germs of vector fields to the case of smooth vector fields on manifolds (Theorem 1.1).

In Section 2 we give a necessary and sufficient condition for an analytic function to be reduced and this condition is useful for verifying the hypotheses of Theorem 1.1.

In Section 3 we prove a similar theorem for liftable diffeomorphisms between manifolds (Theorem 3.1).

1. Lifting of vector fields. Let $f: X \rightarrow Y$ be a smooth⁽¹⁾ map and let v be a smooth vector field on X , w a smooth vector field on Y .

Let $f_*: TX \rightarrow TY$ be the map induced by f between the tangent bundles.

DEFINITION 1.1. The vector fields w on Y and v on X are said to be *f-agreeing* if and only if $w = f_*(v)$.

We say also that the vector field w is *f-liftable* and the vector field v is an *f-lifting* of w .

Remark 1.1. Note how the above condition can be written in local coordinates.

If we introduce local coordinates $\{x_1, \dots, x_n\}$ on a neighbourhood of x_0 such that $x_0 \equiv 0$, and $\{y_1, \dots, y_n\}$ on a neighbourhood of $y_0 = f(x_0)$ such that $y_0 \equiv 0$, $f(U) \subset W$, and call f_1, \dots, f_n the components of f on U , v_1, \dots, v_n the components of v on U and w_1, \dots, w_n the components of w on W , the *f-agreeing* condition of Definition 1.1 is:

$$1.1. \quad w_i(f(x)) = \sum_{j=1}^n \frac{\partial f_j}{\partial x_i}(x) v_j(x) \quad \forall x \in U, \quad 1 \leq i \leq n.$$

* Work executed in the field of GNSAGA of CNR.

⁽¹⁾ "Smooth" always means: differentiable of class C^∞ .

If we use the matrix $\left(\frac{\partial f_i}{\partial x_j}(x)\right)_{1 \leq i, j \leq n} = (Jf)_x$, condition 1.1 obtains the form: $w(f(x)) = (Jf)_x v(x) \forall x \in U$.

Let $\Sigma = \{\text{critical points of } f\}$, $\Delta = \{\text{critical values of } f\}$, $\Delta_r = \{y \in \Delta \mid \exists \text{ a nbhd } U_y \text{ of } y \text{ in } Y: U_y \cap \Delta \text{ is a submanifold of } Y\}$.

The problem we are interested in consists in giving sufficient conditions on f in order that a smooth vector field w on Y be f -liftable if and only if w is tangent to Δ_r .

Let $f_0: (\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^n, 0)$ be a germ of a smooth map and let f be a representative defined on a neighbourhood U of the origin.

DEFINITION 1.2. The origin is a *simple fold point* for f_0 if and only if, for suitable local coordinates $\{x_1, \dots, x_n\}$ at the source and $\{y_1, \dots, y_n\}$ at the range, we can write f as:

$$y_1 = x_1^2, \quad y_i = x_i, \quad 2 \leq i \leq n.$$

Let $A(f, 0) = \varepsilon(n)/(f^* m(n) \cdot \varepsilon(n))$ be the local ring of the singularity at 0.

LEMMA 1.1 (well known). Let $f_0: (\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^n, 0)$ be a germ of a smooth map. The following conditions are equivalent:

- (a) $\dim_{\mathbb{R}} A(f, 0) = 2$,
- (b) 0 is a simple fold point for f_0 .

Proof. (b) \Rightarrow (a) is trivial.

(a) \Rightarrow (b): We claim that $\dim_{\mathbb{R}} A(f, 0) = 2 \Rightarrow Rk_0 f = n - 1$. Indeed, if $Rk_0 f = k < n$, we may suppose that the matrix $\left(\frac{\partial f_i}{\partial x_j}(0)\right)_{1 \leq i, j \leq k}$ has maximum rank. Then $x'_i = f_i$, $1 \leq i \leq k$, $x'_{k+j} = x_{k+j}$, $1 \leq j \leq n - k$, is a smooth local change of coordinates such that in the new system f acts as follows:

$$y_i = x_i, \quad 1 \leq i \leq k, \quad y_{k+j} = g_{k+j}(x')$$

with

$$g_{k+j}(0) = \frac{\partial g_{k+j}}{\partial x'_{k+h}}(0) = 0, \quad 1 \leq j, k \leq n - k.$$

If $k < n - 1$, we obtain $\dim_{\mathbb{R}} A(f, 0) > 2$, contrary to hypothesis (a). The equalities $Rk_0 f = n - 1$, $\dim_{\mathbb{R}} A(f, 0) = 2$ imply that f is of the form $y_i = x_i$, $1 \leq i \leq n - 1$, $y_n = g_n(x)$, with $g(0) = \frac{\partial g}{\partial x_n}(0) = 0$ and $\frac{\partial^2 g}{\partial x_n^2}(0) \neq 0$, and this is a condition necessary for 0 to be a simple fold point for f_0 (see [3], p. 74).

LEMMA 1.2. Let $f: \mathbb{R}_x^n \rightarrow \mathbb{R}_y^n$ be defined by

$$y_1 = x_1^2, \quad y_i = x_i, \quad 2 \leq i \leq n$$

$(\mathbf{R}_x^n$ (resp. \mathbf{R}_y^n) denotes \mathbf{R}^n with the coordinates $\{x_1, \dots, x_n\}$ (resp. $\{y_1, \dots, y_n\}$)).

Then a smooth vector field w on \mathbf{R}_y^n is f -liftable if and only if w is tangent to $\Delta_r = \{y_1 = 0\}$.

Proof (see [1], p. 562).

Let U be an open subset of \mathbf{R}^n , $\varphi: U \rightarrow \mathbf{R}$ a smooth function and $V(\varphi) = \{x \in U: \varphi(x) = 0\}$.

DEFINITION 1.3. We say that φ is a *reduced function* on U if and only if for every smooth function g on U ($g \in C^\infty(U)$) which is zero on $V(\varphi)$, there exists $h \in C^\infty(U)$ such that $g = \varphi \cdot h$. We say that a germ at x of a smooth function is *reduced* if and only if it is the germ at x of a reduced function.

THEOREM 1.1. Let X, Y be smooth manifolds of dimension n , and let $f: X \rightarrow Y$ be a smooth map. If we suppose:

(i) $\Delta_r \subset \{y \in \Delta \mid \forall x \in f^{-1}(y), \dim A(f, x) \leq 2\}$;

(ii) $f^{-1}(\Delta_r) \cap \Sigma$ is dense in Σ ;

(iii) $\forall x \in X, \exists$ a coordinate neighbourhood U such that $\det J(f)|_U$ is reduced on U ;

then a smooth vector field w on U is f -liftable if and only if w is tangent to Δ_r .

Proof. If the vector field w is f -liftable, it is tangent to Δ_r (Lemma 1.2). For the "if" part, suppose that w is tangent to Δ_r .

(1) Note that there exists a uniquely determined f -lifted vector field v of w on $X - \Sigma$, because $Rk_{x_0} f = n$ for every $x_0 \in X - \Sigma$.

More clearly, if we choose suitable neighbourhoods of x_0 and $y_0 = f(x_0)$, as in Remark 1.1, the vector field v on U is defined by

$$v(x) = (Jf)_x^{-1} w(f(x)) \forall x \in U.$$

We are going to show that it is possible to extend the vector field v , which is defined only on $X - \Sigma$, to all of X .

(2) We extend v to $f^{-1}(\Delta_r)$.

In view of Lemmas 1.1, 1.2, v can be extended to a neighbourhood of x_0 , for every $x_0 \in f^{-1}(\Delta_r) \cap \Sigma$. Moreover, for every $x_1, x_2 \in f^{-1}(\Delta_r) \cap \Sigma$ the two extensions of v , respectively to a neighbourhood U_1 of x_1 and U_2 of x_2 , are equal on $(U_1 \cap U_2 - \Sigma)$, which is dense in $U_1 \cap U_2$. This means that v can be extended to $U_1 \cup U_2$.

Thus v can be extended to $f^{-1}(\Delta_r) \cap \Sigma$.

Now observe that, for every x such that $v(x)$ is defined, we have

$$w(f(x)) = f_{*x} v(x)$$

$(f_{*x}: T_x X \rightarrow T_{f(x)} Y$ is the map f restricted to the fibre on x).

If we take suitable coordinate neighbourhoods, as in Remark 1.1, and

denote by B_x the transpose of the matrix of cofactors of $(Jf)_x$, and by I the identity matrix, we obtain

$$(\alpha) \quad B_x w(f(x)) = \det(Jf)_x \cdot Iv(x)$$

for every x such that $v(x)$ is defined.

Thus $\det(Jf)_x = 0$ yields $B_x w(f(x)) = 0$.

(3) We extend v to $\Sigma - (f^{-1}(\Delta_r) \cap \Sigma)$. According to hypothesis (ii), for every $x_0 \in \Sigma - (f^{-1}(\Delta_r) \cap \Sigma)$ there exists a sequence $\{x_n\}_{n \in \mathbb{N}}$ of points of $f^{-1}(\Delta_r) \cap \Sigma$ such that $x_n \rightarrow x_0$.

Thus $B_{x_n} w(f(x_n)) = 0$ for every $n \in \mathbb{N}$ implies $B_{x_0} w(f(x_0)) = 0$.

Then, by (iii), $\det(Jf)_x$ divides every component of the vector field $B_x w(f(x))$ on a neighbourhood of the point x_0 .

This means that the vector field v can be extended to such a neighbourhood of x_0 .

As in step (2), we easily realize that any two such extensions of v coincide on some neighbourhood of x_0 . This means that v can be extended to all of $\Sigma - (f^{-1}(\Delta_r) \cap \Sigma)$.

Consequences:

COROLLARY 1.1. *Let $X \cong \mathbb{R}^n$, $Y \cong \mathbb{R}^n$, $f: X \rightarrow Y$ smooth, defined by*

$$y_1 = x_1^{n+1} + x_2 x_1^{n-1} + \dots + x_n x_1, \quad y_i = x_i, \quad 2 \leq i \leq n,$$

where $\{x_1, \dots, x_n\}$ (respectively $\{y_1, \dots, y_n\}$) is a system of coordinates on X (resp. on Y) (such a map is usually called the Whitney map in n variables). Then a smooth vector field w on Y is f -liftable if and only if w is tangent to Δ_r .

COROLLARY 1.2. *Let $X \cong \mathbb{R}^n$, $Y = \mathbb{R}^n$. Let $\{x_1, \dots, x_{n+1}\}: \sum_{i=1}^{n+1} x_i = 0$ be a system of coordinates on X and $\{y_1, \dots, y_n\}$ be a system of coordinates on Y . Let $f: X \rightarrow Y$ be the smooth map defined by*

$$y_i = (-1)^{i+1} \sigma_{i+1}(x), \quad 1 \leq i \leq n,$$

where $\sigma_1(x) = \sum_{i=1}^{n+1} x_i$, $\sigma_2(x) = \sum_{i < j} x_i x_j, \dots$, are the elementary symmetric functions of x_1, \dots, x_{n+1} (such a map is usually called the Vieta map in n variables).

Then a smooth vector field w on Y is f -liftable if and only if it is tangent to Δ_r .

Proof. The Whitney and Vieta maps satisfy the hypotheses of Theorem 1.1.

2. Reduced functions. Let U be an open subset of \mathbb{R}^n and $f: U \rightarrow \mathbb{R}$ a smooth function. Let $V = \{x \in U: f(x) = 0\}$ and f_x the germ of f at the point x .

It is difficult to recognize those functions $f \in C^\infty(U)$ which satisfy the following condition:

$$\forall g \in C^\infty(U): g|_V \equiv 0 \Rightarrow \exists h \in C^\infty(U): g = f \cdot h.$$

However, if f is an analytic function on U , we can give a divisibility criterion, as the following facts indicate:

Let $f: U \rightarrow \mathbf{R}$ be analytic. We call:

\mathcal{A}_U (\mathcal{A}_x) the sheaf (the stalk at x) of germs of analytic functions on U ;

$\langle f \rangle$ ($\langle f_x \rangle$) the sheaf of ideals (the stalk at x) of germs of analytic functions on U generated by f (f_x);

$I(V)$ ($I_x(V)$) the sheaf of ideals (the stalk at x) of germs of analytic functions which are zero on V .

Remark 2.1. Let f_x be a germ of a real analytic function and let $f: U \rightarrow \mathbf{R}$ be a representative on a neighbourhood U of x .

By definition we have

(a)
$$I_x(V) = \langle f_x \rangle$$

if and only if for every analytic germ g_x , with a representative $g: U_1 \rightarrow \mathbf{R}$ such that $g|_{V \cap U_1} \equiv 0$, there exists $h_x \in \mathcal{A}_x$ such that $g_x = f_x \cdot h_x$.

Note that if $g_x \in I_x(V) \setminus \langle f_x \rangle$, then there does not exist a smooth germ h_x such that $g_x = f_x \cdot h_x$. Indeed, the quotient of two analytic functions is meromorphic, and if a meromorphic function is C^∞ at every point, then it is also analytic (see [4], p. 361).

Condition (a) is necessary but not sufficient if we choose $g \in C^\infty(U)$, as the following proposition shows:

PROPOSITION 2.1. *Let f_x be a germ of an analytic function and let $f: U \rightarrow \mathbf{R}$ be a representative.*

Then, for every smooth germ g_x , with a representative $g: U_1 \rightarrow \mathbf{R}$ such that $g|_{V \cap U_1} \equiv 0$, there exists a smooth germ h_x such that $g_x = f_x \cdot h_x$ if and only if there exists a neighbourhood X of x such that

(b)
$$I(V) = \langle f \rangle \quad \text{on all } W.$$

Before proving Proposition 2.1 we prepare the following lemma:

LEMMA 2.1. *Let U be an open subset of \mathbf{R}^n and V be a non-coherent analytic subset of U . Let $0 \in V$ be a non-coherent point of V . Let g_1, \dots, g_p be analytic functions on an open subset W of U such that the germs at the origin $g_{1,0}, \dots, g_{p,0}$ generate the ideal $I_0(V)$. Then*

$$\exists f \in C^\infty(U): f|_V \equiv 0 \quad \text{and} \quad f_0 \notin \langle g_{1,0}, \dots, g_{p,0} \rangle_{\varepsilon(n)}$$

$\langle g_{i,0} \rangle_{\varepsilon(n)}$ denotes the ideal generated by $g_{i,0}$ in the ring $\varepsilon(n)$ of smooth germs: $(\mathbf{R}^n, 0) - \mathbf{R}$.

Proof of Lemma 2.1. As 0 is a non-coherent point of V , there

exists a sequence $\{x_n\}_{n \in \mathbb{N}}$ of distinct points $x_n \in V \cap W$, $x_n \neq 0$, and a sequence of analytic functions $\{f_n\}_{n \in \mathbb{N}}$, each defined on a neighbourhood of the ball $D(x_n, \varepsilon_n)$ with centre x_n and radius $\varepsilon_n < \frac{1}{2} \cdot \inf_m d(x_n, x_m)$, such that

$$f_{n,x_n} \in I_{x_n}(V) \quad \text{and} \quad f_{n,x_n} \notin \langle g_{1,x_n}, \dots, g_{p,x_n} \rangle.$$

Let $\varphi: \mathbb{R}^+ \rightarrow \mathbb{R}$ be a smooth function defined by

$$\varphi(x) = \begin{cases} 0 & \text{if } x \geq 1, \\ 1 & \text{if } x \leq \frac{1}{2}. \end{cases}$$

We now consider the series of functions

$$(1) \quad \sum_{n=0}^{\infty} f_n(x) \varphi(t_n \|x - x_n\|) = f(x),$$

where $\{t_n\}_{n \in \mathbb{N}}$ is a sequence of real positive numbers such that $1/t_n < \min(\varepsilon_n, M_n^{-1} 2^{-n})$, M_n being the constant given by

$$M_n = \max_n |D^\alpha (f_n(x) \cdot \varphi(t_n \|x - x_n\|) t_n)|.$$

Series (1) defines a smooth function at every point $x \neq 0$, because $x \notin D(x_n, \varepsilon_n) \rightarrow f(x) = 0$; $x \in D(x_n, \varepsilon_n)$ for some $n \rightarrow f(x) = f_n(x) \times \varphi(t_n \|x - x_n\|)$. To verify that (1) defines a smooth function also at 0, it is sufficient to prove that for every $\alpha = (\alpha_1, \dots, \alpha_n)$, $\alpha_i \in \mathbb{N}$:

$$(2) \quad \sum_{n=0}^{\infty} D^\alpha [f_n(x) \varphi(t_n \|x - x_n\|)]$$

converges uniformly on a neighbourhood of the origin.

To see this we note that (2) may be written as the sum of two series:

$$\sum_{i=0}^{n-1} D^\alpha [f_i(x) \varphi(t_i \|x - x_i\|)] + \sum_{i=n}^{\infty} \frac{1}{t_i} \cdot D^\alpha [f_i(x) (t_i \|x - x_i\|) t_i]$$

and that the absolute value of the k -th term of the second series is dominated by 2^{-k} . This means that series (2) converges uniformly on U , for every α .

The function $f(x)$ satisfies $f|_V \equiv 0$ by construction, but it is not possible to find smooth functions b_1, \dots, b_p on a neighbourhood W' of the origin such that the germ f_0 should satisfy:

$$(3) \quad f_0 = \sum_{i=1}^p b_{i,0} g_{i,0}.$$

Indeed, (3) means that

$$f(x) = \sum_{i=1}^p b_i(x) g_i(x) \quad \forall x \in W' \cap W$$

and hence

$$\hat{f}_x = \sum_{i=1}^p \hat{b}_{i,x} \hat{g}_{i,x} \quad \forall x \in W' \cap W$$

(\hat{f}_x denotes the Taylor series of f at the point x).

But, however, we choose W' , it is possible to find $x_n \in W' \cap W$ such that:

$$(4) \quad f_{x_n} = f_{n,x_n} \notin \langle g_{1,x_n}, \dots, g_{p,x_n} \rangle.$$

As the ring of formal power series $\mathbf{R}[[x-x_n]]$ is faithfully flat over the ring of convergent power series $\mathbf{R}[[x-x_n]]$ (this means, in particular, that an ideal $I \subset \mathbf{R}[[x-x_n]]$ satisfies $I \cdot \mathbf{R}[[x-x_n]] \cap \mathbf{R}\{x-x_n\} = I$), f_{x_n} may be written as a combination of the g_{i,x_n} with analytic germ coefficients, contradicting (4).

Proof of Proposition 2.1. Suppose that, for any choice of a neighbourhood W of the origin, (b) is false on W . Then there exists a sequence of open neighbourhoods of the origin $\{W_n\}_{n \in \mathbf{N}}$, $W_n \subset W_{n-1} \forall n$, such that $Y_n = \{y \in W_n \mid I_y(V) \neq \langle f_y \rangle \neq \emptyset\} \neq \emptyset \forall n \in \mathbf{N}$. Two possibilities can occur:

$$1^\circ \quad x \in \bigcap_{n \in \mathbf{N}} Y_n \text{ or}$$

$$2^\circ \quad x \notin \bigcap_{n \in \mathbf{N}} Y_n \text{ but } x \in \bigcap_{n \in \mathbf{N}} \bar{Y}_n.$$

In the first case the germ f_x does not divide the analytic germs at x .

In the second case, by Lemma 2.1, it is possible to find a smooth germ g_x which is not divided by f_x .

On the other hand, if (b) is true on a neighbourhood of the point x , then x is a coherent point of V .

Then (see [5], p. 123) there exist a neighbourhood W of x in U and real functions f_1, \dots, f_p on W , which are zero on $V \cap W$, and real smooth functions g_1, \dots, g_p on W , such that

$$g(x) = \sum_{i=1}^p g_i(x) f_i(x) \quad \forall x \in W.$$

But $I(V) = \langle f \rangle$ on W , so there exist real analytic functions h_1, \dots, h_p on W such that $f_i(x) = h_i(x) f(x)$, $1 \leq i \leq p$, $\forall x \in W$.

Then $g(x) = h(x) f(x) \forall x \in W$, where $h = \sum_{i=1}^p g_i h_i$, $h \in C^\infty(W)$.

Note that the equality: $I(V) = \langle f \rangle$ on a neighbourhood of the point x is a condition stronger than coherence at the point x .

Now we wish to know if an analytic reduced germ: $(\mathbf{R}^n, 0) \rightarrow \mathbf{R}$ is reduced as a germ: $(\mathbf{R}^{n+k}, 0) \rightarrow \mathbf{R}$.

The answer is yes, as the following proposition proves:

PROPOSITION 2.3. *Let $\varphi_0: (\mathbb{R}^n, 0) \rightarrow \mathbb{R}$ be a germ of an analytic reduced function. Then φ_0 is reduced as a germ: $(\mathbb{R}^{n+k}, 0) \rightarrow \mathbb{R} \forall k \in \mathbb{N}$.*

Proof. This follows from the following remarks.

Remark I. Let $\varphi_0: (\mathbb{R}^n, 0) \rightarrow \mathbb{R}$ be a germ of an analytic reduced function. Then we may suppose that φ_0 is the germ at 0 of a distinguished polynomial $P(x, t) = t^p + a_1(x)t^{p-1} + \dots + a_p(x)$, where the a_i are analytic functions on a neighbourhood of the origin and $a_i(0) = 0$.

Indeed, if $\varphi_0 \neq 0$, there exists $p \in \mathbb{N}$ and coordinates $\{x_1, \dots, x_{n-1}, t\}$ on a neighbourhood U of the origin such that on U

$$\varphi(x, t) = q(x, t) \cdot P(x, t), \quad \text{where } q \text{ is analytic on } U, q(0, 0) \neq 0.$$

It is clear that φ_0 is reduced if and only if P_0 is reduced.

Remark I'. Also in the case φ_0 is a germ of a smooth reduced function, we may suppose that φ_0 is the germ at the origin of a distinguished polynomial $P(x, t)$, and this time the a_i will be smooth functions on \mathbb{R}^{n-1} , which are zero at the origin.

Indeed, $\varphi_0 \in \varepsilon(n)$ reduced implies $\varphi_0 \notin m(n)^\infty$ (here $m(n)^\infty$ is the ideal of smooth germs at the origin whose derivatives of every order are zero at the origin).

Otherwise, $\varphi_0 \in m(n)^\infty \Rightarrow \varphi/\|x\|$ is a smooth function on a neighbourhood of the origin with the same zero locus as φ , and φ does not divide $\varphi/(\|x\|)$.

Remark II. Let $P(x, t) = t^p + \sum_{j=1}^p a_j(x)t^{p-j}$ be a distinguished polynomial, where the $a_j(x)$ belong to the ring of formal power series $\mathbb{R}[[x_1, \dots, x_{n-1}]]$ and $a_j(0) = 0, 1 \leq j \leq p$.

Then, for any polynomial

$$R(x, t) = \sum_{j=1}^p r_j(x)t^{p-j}, \quad \text{where } r_j(x) \in \mathbb{R}[[x_1, \dots, x_{n-1}]],$$

we have:

$$R \in \langle P \rangle_{\mathbb{R}[[x, t]]} \leftrightarrow R \equiv 0$$

($\langle P \rangle_{\mathbb{R}[[x, t]]}$ denotes the ideal generated by P in the ring $\mathbb{R}[[x, t]]$).

Proof. If there exists $B(x, t) = \sum_{j=1}^{\infty} b_j(x)t^j, b_j \in \mathbb{R}[[x, t]]$, such that $R = P \cdot B$, all the terms of the series containing t to power $\geq p$ must be zero, i.e.

$$b_j + a_1 b_{j+1} + \dots + a_p b_{j+p} = 0, \quad j = 0, 1, 2, \dots,$$

and this implies that $\hat{b}_j \in \hat{m}(n)^k \forall k \in \mathbb{N}$, as we can easily prove by induction ($\hat{m}(n)^k$ is the ideal in $\mathbb{R}[[x, t]]$ generated by the monomials of degree $= k$).

Remark II'. If $a_j(x), r_k(x)$ are analytic functions on a connected neighbourhood of the origin, then

$$R \in \langle P \rangle \leftrightarrow R \equiv 0.$$

If $a_j(x), r_k(x)$ are smooth functions, we may only say using this argument that $r_{j,0} \in m(n-1)^\infty, 1 \leq j \leq p$.

Let $P_0: (\mathbb{R}^n, 0) \rightarrow \mathbb{R}$ be a germ of a distinguished analytic polynomial such that $I_0(V(P)) = \langle P_0 \rangle$.

Let $P: U \rightarrow \mathbb{R}$ be a representative defined on an open subset U of \mathbb{R}^n by $P(x, t) = t^p + \sum_{j=1}^p a_j(x)t^j$, where a_j is analytic, $a_j(0) = 0, 1 \leq j \leq p$.

Remark III. Let P_0 be as above. Then for every $k > 0$ and for every analytic germ $g_0: (\mathbb{R}^n \times \mathbb{R}^k, 0) \rightarrow \mathbb{R}$ such that $g_{|_{V(P) \times \mathbb{R}^k}} \equiv 0$, there exists an analytic germ $h_0: (\mathbb{R}^n \times \mathbb{R}^k, 0) \rightarrow \mathbb{R}$ such that $g_0 = P_0 \cdot h_0$.

Proof. There exist analytic functions $H(x, t, u), R(x, t, u)$, defined on a neighbourhood of the origin in \mathbb{R}^{n+k} such that on it

$$g(x, t, u) = P(x, t) \cdot H(x, t, u) + R(x, t, u).$$

For every $u, g(\cdot, \cdot, u)$ is zero on $V(P) \cap W$, where W is a neighbourhood of the origin in \mathbb{R}^n . Hence P divides $g(\cdot, \cdot, u)$ on W . Then P divides $R(\cdot, \cdot, u)$ on $W \leftrightarrow R(\cdot, \cdot, u) = 0$; hence $g_0 = P_0 \cdot H_0$.

Remark IV. Let $P_0: (\mathbb{R}^n, 0) \rightarrow \mathbb{R}$ be a germ of an analytic reduced distinguished polynomial.

Then $P_0: (\mathbb{R}^{n+k}, 0) \rightarrow \mathbb{R}$ is reduced, for every $k \in \mathbb{N}$.

Proof. Recall that P_0 is reduced if and only if $I(V(P)) = \langle P \rangle$ on a neighbourhood W of the origin. This implies that $V(P) \cap W$ is a coherent analytic subset of W and therefore $(V(P) \cap W) \times \mathbb{R}^k$ is a coherent analytic subset of $W \times \mathbb{R}^k$.

Moreover, as P_0 generates the ideal of the germs at 0 of the analytic functions which are zero on $V(P) \times \mathbb{R}^k$ (by Remark III), $I(V(P)) = \langle P \rangle$ is true on a neighbourhood of the origin in \mathbb{R}^{n+k} , and this proves that $P_0: (\mathbb{R}^{n+k}, 0) \rightarrow \mathbb{R}$ is reduced for every $k \in \mathbb{N}$.

3. Lifting of diffeomorphisms. We study in this section a problem strictly tied to the lifting of vector fields.

We use the same notation as in Section 1; in particular, Δ denotes the set of critical values of f .

DEFINITION 3.1. We say that a diffeomorphism $g: Y \rightarrow Y$ and a diffeomorphism $h: X \rightarrow \bar{X}$ are *f-agreeing* if and only if the following diagram commutes:

$$\begin{array}{ccc} X & \xrightarrow{h} & X \\ f \downarrow & & \downarrow f \\ Y & \xrightarrow{g} & Y \end{array}$$

We shall also say that g is f -liftable and that h is an f -lifting of g .

DEFINITION 3.2. Two diffeomorphisms $g_0, g_1: Y \rightarrow Y$ are said to be isotopic mod Δ if there exists a smooth map $g: Y \times [0, 1] \rightarrow Y$ such that

$$1^\circ g(y, 0) = g_0(y) \forall y \in Y;$$

$$2^\circ g(y, 1) = g_1(y) \forall y \in Y;$$

$$3^\circ g|_{Y \times \{t\}} \text{ is a diffeomorphism preserving } \Delta, \text{ for every } t \in [0, 1];$$

in particular, g_0, g_1 must preserve Δ .

We have the following:

THEOREM 3.1. Let X, Y be smooth manifolds, X compact, and let $f: X \rightarrow Y$ be a smooth map satisfying the hypotheses of Theorem 1.1.

Then every diffeomorphism $g: Y \rightarrow Y$ which is isotopic to the identity mod Δ admits an f -lifting $h: X \rightarrow X$ which is isotopic to the identity. (In fact, any isotopy between g and id_Y can be lifted to an isotopy between a diffeomorphism $h: X \rightarrow X$ and id_X .)

Proof. Let $g: Y \times \mathbb{R} \rightarrow Y$ be a smooth map satisfying:

$$1^\circ g(y, 0) = y \forall y \in Y;$$

$$2^\circ g|_{Y \times \{t\}} = g_t \text{ is a diffeomorphism preserving } \Delta \text{ for every } t \in \mathbb{R}.$$

Consider the map $G: Y \times \mathbb{R}^2 \rightarrow Y \times \mathbb{R}$ defined by:

$$G(y, \tau, t) = G_t(y, \tau) = (g_{t+\tau} g_\tau^{-1}(y), t + \tau).$$

We easily see that the family $\{G_t\}_{t \in \mathbb{R}}$ is a one-parameter group of diffeomorphisms of $Y \times \mathbb{R}$.

Let us prove for example that $G_{t_1+t_2} = G_{t_1} \cdot G_{t_2}$: indeed,

$$\begin{aligned} G_{t_1} \cdot G_{t_2}(y, \tau) &= G_{t_1}(g_{t_2+\tau} g_\tau^{-1}(y, \tau), t_2 + \tau) \\ &= (g_{t_1+t_2+\tau} g_\tau^{-1}(y), t_1 + t_2 + \tau) = G_{t_1+t_2}(y, \tau). \end{aligned}$$

To the family $\{G_t\}_{t \in \mathbb{R}}$ there corresponds a vector field

$$W(y, \tau) = \left. \frac{d}{dt} \right|_{t=0} G_t(y, \tau).$$

Consider the map $F: X \times \mathbb{R} \rightarrow Y \times \mathbb{R}$ defined by $F(x, \tau) = (f(x), \tau)$.

Observe that F satisfies the hypotheses of Theorem 1.1 ((iii) follows from Proposition 2.2) and that the vector field W is tangent to the set of critical values of F (the latter is $\Delta \times \mathbb{R}$, where Δ is the set of critical values of f), since

$$W(y, \tau) = \left. \frac{d}{dt} \right|_{t=0} G_t(y, \tau) = \left(\left. \frac{d}{dt} \right|_{t=0} g_{t+\tau} g_\tau^{-1}(y), 1 \right)$$

and g_t preserves Δ by hypothesis.

Hence there exists a vector field V on $X \times \mathbb{R}$, which is an f -lifting of W , $V(x, \tau) = (v(x, \tau), 1)$.

As X is a compact manifold, the vector field $v(x, \tau)$ is the derivative vector field of a one-parameter group $\{h_t\}_{t \in \mathbf{R}}$ of diffeomorphisms: $X \rightarrow X$ (see [2], p. 246).

Write $H_t(y, \tau) = (h_t(x), \tau)$. $\{H_t\}_{t \in \mathbf{R}}$ is the flow on $X \times \mathbf{R}$ induced by the vector field V ; therefore, as the vector fields V, W are f -agreeing, for every t the following diagram commutes:

$$\begin{array}{ccc} X \times \mathbf{R} & \xrightarrow{H_t} & X \times \mathbf{R} \\ F \downarrow & & \downarrow F \\ Y \times \mathbf{R} & \xrightarrow{G_t} & Y \times \mathbf{R} \end{array}$$

It follows that for $\tau = 0$ we have $g_t \cdot f(x) = f \cdot h_t(x)$.

This means that g_t and h_t are f -agreeing.

Remark 3.1. Theorem 3.1 is true if we replace the hypothesis “ X is compact” by “ f is proper”.

Indeed, also in this case the vector field $v(x, \tau)$ is the derivative vector field of a one-parameter group of diffeomorphisms of X , because, f being a proper map, we may indefinitely continue every solution (which locally exists and is unique) of the equation $\dot{x} = v(x, \tau)$.

More clearly, as the vector field $v(x, \tau)$ on X and the vector field $w(y, \tau) = \frac{d}{dt} \Big|_{t=0} g_{t+\tau} g_\tau^{-1}(y)$ on Y are f -agreeing, f maps integral curves of v into integral curves of w ; if there exists $x_0 \in X$ such that the integral curve through x_0 does not continue indefinitely, then, f being a proper map, the f -image of this curve does not continue indefinitely.

This is false because of the construction of W .

References

- [1] Arnold, *Wave front evolution and equivariant Morse lemma*, Comm. Pure Appl. Math. 29 (1976).
- [2] — *Equations différentielles ordinaires*, éditions mir, Moscow 1974.
- [3] Bröker and Lander, *Differentiable germs and catastrophes*, Cambridge University Press, 1975.
- [4] Galbiati and Tognoli, *Alcune proprietà delle varietà algebriche reali*, Annali della scuola normale superiore di Pisa, vol. 27 (1973).
- [5] Malgrange, *Sur les fonctions différentiables et les ensembles analytiques*, Bull. Soc. Math. France 91 (1963).

Reçu par la Rédaction le 10. 10. 1977