

## On $G$ -foliations

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**Abstract.** In this short note the author looks at how properties of a pseudogroup of automorphisms of a given  $G$ -structure influence topological and geometrical properties of  $G$ -foliations modelled on this  $G$ -structure.

**0. Introduction.** All the geometrical objects considered in this paper are smooth, i.e., of  $C^\infty$  differentiability.

Let  $N$  be a  $q$ -manifold and  $\Gamma$  a pseudogroup of diffeomorphisms of the manifold  $N$ . For any open subset  $U$  of  $N$  by  $\Gamma(U)$  we denote the set  $\{f \in \Gamma: \text{dom } f = U\}$ . We say that the pseudogroup  $\Gamma$  has the *property*  $E_k$ ,  $k$  any integer, if for any point  $x$  of the manifold the spaces  $\{j_x^k f: f \in \Gamma(U_n)\}$  are equal for some sequence of open subsets  $U_n$  such that  $U_0 = N$  and  $\bigcap U_n = \{x\}$ .

EXAMPLES. 1. Any pseudogroup of diffeomorphisms generated by a group of global diffeomorphisms has the property  $E_k$  for any  $k$ .

2. Let  $B(N, G)$  be a regular  $G$ -structure on a simple connected compact manifold  $N$  for a group  $G$  of finite type  $k$ . The pseudogroup  $\Gamma$  generated by the flows of infinitesimal automorphisms of this  $G$ -structure has the property  $E_k$ . In fact, the sheaf of germs of infinitesimal automorphisms of the  $G$ -structure  $B(N, G)$  is constant (cf. [4]). Thus any germ of an infinitesimal automorphism can be extended to a global infinitesimal automorphism of  $B(N, G)$ , hence any diffeomorphism from some flow, with the domain small enough, can be extended to a global diffeomorphism, so the pseudogroup has the property  $E_k$ .

Let  $G$  be a closed subgroup of the linear group  $GL(q)$  of finite type  $k$ , let  $B(N, G)$  be a  $G$ -structure on the manifold  $N$ . Let  $\Gamma$  be a pseudogroup of automorphisms of the  $G$ -structure  $B(N, G)$  having the property  $E_k$ . Let  $F$  be a  $\Gamma$ -foliation on an  $n$ -manifold  $M$  in the sense of Haefliger (cf. [5]). Then we have the following

**THEOREM 1.** *The lifted foliation  $\tilde{F}$  to the universal covering  $\tilde{M}$  of the manifold  $M$  is simple, i.e., defined by a global submersion.*

**THEOREM 2.** *Assume that the manifold  $M$  is compact. The growth of the leaves of the foliation  $F$  is dominated by the growth of the fundamental group  $\pi_1(M)$  of the manifold  $M$ .*

**THEOREM 3.** *The leaves of a complete foliation  $F$  have the common universal covering space. The space of leaves of the foliation  $F$  is homeomorphic to the orbit space of some action of a group on a covering space of the manifold  $N$ .*

We would like to express our gratitude to Robert A. Blumenthal for providing the preprints of papers of his which have been the inspiration of this note.

**1.  $G$ -foliations.** Let  $F$  be a  $G$ -foliation on the manifold  $M$  (cf. [2]). Then the normal bundle  $N(M, F)$  of the foliation  $F$  admits a reduction of the structure group to the group  $G$ . Denote by  $B(M, G; F)$  the reduction to the group  $G$  of the linear frame bundle  $L(M; F)$  of the normal bundle  $N(M; F)$ .

On  $L(M; F)$  and  $B(M, G; F)$  we define an  $R^q$ -valued 1-form  $\theta$ , the fundamental form, as follows (cf. [7], [8]):

$$T_p(L(M; F)) \xrightarrow{d\pi} T_{\pi p} M \rightarrow N_{\pi p}(M; F) \xrightarrow{p^{-1}} R^q,$$

where  $\pi: L(M; F) \rightarrow M$  is the natural projection. Then

$$R_a^* \theta = a^{-1} \theta \quad \text{for any } a \in G,$$

and

$$L_A^* \theta = -A \cdot \theta \quad \text{for any } A \in \text{Lie}(G) = \mathfrak{g}.$$

On the manifold  $B(M, G; F)$  one defines a foliation  $F_1$  of dimension  $n-q$  as follows:

$$F_1 = \{X \in TB(M, G; F): i_X \theta = 0, i_X d\theta = 0\}$$

(cf. [7], [8]).

Let us choose a splitting  $s$  of the exact sequence

$$0 \rightarrow F \rightarrow TM \xrightarrow{s} N(M, F) \rightarrow 0.$$

Take any  $q$ -dimensional subspace  $H_p$  at a point  $p$  in  $T_p B(M, G; F)$  such that its projection  $d\pi H_p$  onto  $T_{\pi p} M$  is equal to  $s(N_{\pi p}(M, F))$ . From now on we shall consider only such subspaces and call them the horizontal subspaces.

Let us take two horizontal subspaces  $H_1$  and  $H_2$  at a point  $p$  of  $B(M, G; F)$ . Take any vector  $v$  of  $R^q$ . Then there exist the unique vectors  $X_1 \in H_1$  and  $X_2 \in H_2$  such that  $\theta(X_1) = \theta(X_2) = v$ . Thus  $\theta(X_1 - X_2) = 0$  and  $d\pi(X_1 - X_2) = 0$ . Therefore  $X_1 - X_2 = A^*$  for some vector  $A \in \mathfrak{g}$ . In this way, for any two horizontal subspaces  $H_1$  and  $H_2$  at a point  $p$  we define a linear mapping  $S_{H_1 H_2}: R^q \rightarrow \mathfrak{g}$  by putting  $S_{H_1 H_2}(v) = A$  such that if  $X_1 \in H_1$ ,  $X_2 \in H_2$  and  $\theta(X_1) = \theta(X_2) = v$ , then  $X_1 - X_2 = A^*$ .

If a linear mapping  $S: R^q \rightarrow \mathfrak{g}$  and a horizontal space  $H$  at a point  $p$  are given we define another horizontal space  $H'$  at  $p$  in the following way:

$$H' = \{X' = X + S(v)^*: \theta(X) = v, X \in H\}.$$

Then

$$X' - X = S(v)^* \quad \text{and} \quad S_{H'H} = S.$$

For any horizontal subspace  $H$  we can define the following mapping  $c_H: R^q \wedge R^q \rightarrow R^q$ .

$$c_H(u \wedge v) = \langle X \wedge Y, d\theta \rangle \quad \text{for } X, Y \in H \text{ such that } \theta(X) = u, \theta(Y) = v.$$

Then comparing the mappings  $c_H$  and  $c_{H'}$  defined for two different horizontal subspaces  $H$  and  $H'$  at a given point  $p$  we have the following:

$$\begin{aligned} c_H(u \wedge v) - c_{H'}(u \wedge v) &= \langle X \wedge Y, d\theta \rangle - \langle X' \wedge Y', d\theta \rangle \\ &= \langle (X - X') \wedge Y, d\theta \rangle - \langle X' \wedge (Y' - Y), d\theta \rangle \\ &= \langle S_{HH'}(u)^* \wedge Y, d\theta \rangle - \langle X' \wedge S_{H'H}(v)^*, d\theta \rangle \\ &= -\frac{1}{2}(S_{HH'}(u) \cdot \theta(Y) + S_{H'H}(v) \cdot \theta(X)) \\ &= \frac{1}{2}(S_{H'H}(u)(v) - S_{H'H}(v)(u)) = \partial S_{H'H}(u \wedge v), \end{aligned}$$

where  $\partial$  is the antisymmetrization operator.

Therefore for any  $p \in B(M, G; F)$  the mappings  $c_H$  define the unique class  $c(p)$  in  $\text{Hom}(R^q \wedge R^q, R^q) / \partial \text{Hom}(R^q, \mathfrak{g})$ . We call this tensor the structure tensor of the transverse  $G$ -structure  $B(M, G; F)$ . Since  $\partial \text{Hom}(R^q; \mathfrak{g})$  is a vector subspace of  $\text{Hom}(R^q \wedge R^q, R^q)$ , we can choose a supplementary subspace  $C$  to  $\partial \text{Hom}(R^q, \mathfrak{g})$  in  $\text{Hom}(R^q \wedge R^q, R^q)$ . This choice, at a point  $p$  of  $B(M, G; F)$ , distinguishes a family of horizontal subspaces  $H$  such that  $c_H \in C$ . If  $H_1$  and  $H_2$  are two such horizontal subspaces at a point  $p$

$$c_{H_1}(u \wedge v) - c_{H_2}(u \wedge v) = \partial S_{H_2H_1}(u \wedge v) = 0,$$

so

$$S_{H_1H_2} \in \mathfrak{g}^{(1)} - \mathfrak{g}^{(1)} \quad \text{the first prolongation of the Lie algebra } \mathfrak{g}.$$

Thus the choice of  $C$  defines a  $G^{(1)}$ -structure on  $L(B(M, G; F), F_1)$ , where

$$G^{(1)} = \left\{ \begin{pmatrix} \text{id} & 0 \\ h & \text{id} \end{pmatrix} \in \text{GL}(R^q + \mathfrak{g}) : h \in \mathfrak{g}^{(1)} \right\}.$$

We call this  $G^{(1)}$ -structure the *first prolongation of the transverse  $G$ -structure*  $B(M, G; F)$  and denote it by  $B^1(M, G; F)$ . By induction, we define further prolongations, i.e.,  $B^{k+1}(M, G; F)$  is the first prolongation of the transverse  $G^k$ -structure  $B^k(M, G; F)$ . If the group  $G$  is of finite type  $k$ , after a finite number  $k$  of steps, we get an  $\{e\}$ -structure  $B^k(M, G; F)$ , so the foliation  $F_k$  is transversely parallelisable.

Remark. Let a transverse  $G$ -structure be projectible (cf. [7], [8]). With a suitable choice of the splitting, if the diagram

$$\begin{array}{ccc} B(M, G; F) & \longrightarrow & B(N, G) \\ \downarrow & & \downarrow \\ M & \xrightarrow{f} & N \end{array}$$

is commutative, we have the following equality for the structure tensors:  $f^* c_N = c_M$ .

**2. Proof of Theorem 1.** The proof is based on the following lemma:

LEMMA 1. Let  $G$  be a closed subgroup of the group  $GL(q)$  of finite type and let  $\Gamma$  be a pseudogroup of automorphisms of a  $G$ -structure  $B(N, G)$ . Then the restriction mapping  $\Gamma(U_m) \rightarrow \Gamma(U_{m+1})$  is bijective. In particular, any automorphism of the  $G$ -structure belonging to  $\Gamma$  can be extended to a global one.

Proof. Let  $f \in \Gamma(U_{m+1})$ . Then the lift  $\bar{f}$  to  $B^k(N, G)$  preserves the  $\{e\}$ -structure on  $B^k(N, G)$ , i.e., the parallelism  $\{X_1, \dots, X_r\}$  of  $B^k(N, G)$  (cf. [10]). Thus

$$(*) \quad \bar{f} \exp t X_i = \exp t X_i \bar{f} \quad \text{for any } t \text{ and } i = 1, \dots, r$$

whenever  $\exp t X_i$  is defined.

Let  $g$  be an element of  $\Gamma(U_m)$  such that  $j_x^k g = j_x^k f$ . Then for the lifted mapping  $\bar{g}$  to  $B^k(N, G)$  we have the equality  $\bar{g}(p) = \bar{f}(p)$  for any  $p$  over  $x$ . The set  $A = \{p \in B^k(N, G) : \bar{f}(p) = \bar{g}(p)\}$  is nonempty and closed. It is open as well because of (\*). The uniqueness is proved in the same way.

Let  $\{U_i, f_i, g_{ij}\}$  be a  $\Gamma$ -cocycle defining the given  $\Gamma$ -foliation. For each  $g_{ij}$ , by Lemma 1, there exists the unique global diffeomorphism  $\bar{g}_{ij}$  of the pseudogroup  $\Gamma$  extending  $g_{ij}$ .

Let  $\underline{H} = \{(L_g f_i)_x \text{ for } x \in U_i, g \in \Gamma\}$ , where  $(\ )_x$  denotes the germ at  $x$ . The space  $\underline{H} \rightarrow M$  with the natural projection  $w$  and the sheaf topology admits a  $C^\infty$ -manifold structure of dimension  $n$ . Any connected component of  $\underline{H}$  is a cover space of  $M$ . Let us take such a component  $\hat{M}$ . Then there exists a natural equivariant submersion, denoted by  $f$ , of  $\hat{M}$  into  $N$

$$\hat{M} \ni (L_g f_i)_x \mapsto g f_i(x) \in N.$$

The lifted foliation  $\hat{F}$  to  $\hat{M}$  is just the foliation defined by this submersion.

**3. Proof of Theorem 2.** Lemma 1 and the way the space  $\underline{H}$  has been constructed allows us to use precisely the methods of R. Blumenthal (cf. [1]). We leave it to the reader to fill the details.

#### 4. Complete $G$ -foliations.

DEFINITION. Let  $G$  be a closed subgroup of  $GL(q)$  of finite type  $k$ . We say that a  $G$ -foliation is *complete* if the transverse parallelism of  $B^k(M, G; F)$  is complete and foliation preserving.

LEMMA 2. Let the  $k$ -th structure tensor of  $B(N, G)$  be zero, and let the transverse parallelism be complete. Then the  $G$ -foliation  $F$  is complete.

Proof. Since  $(g^{(k-1)})^{(1)} = g^{(k)} = 0$ , the connections are determined by their torsion tensors (cf. [4]). Therefore the torsion-free connection on  $B^{k-1}(N, G)$  is unique, and therefore it determines a basic connection on  $B^{k-1}(M, G; F)$ . Thus the fundamental horizontal and vertical vector fields on  $B^{k-1}(M, G; F)$  define a transverse parallelism. One can easily prove, using the same methods as in [7], that they are infinitesimal automorphisms of the foliation  $F_k$ .

Proof of Theorem 3. By Theorem 1 there exists a cover  $\hat{M}$  of the manifold  $M$  such that the lifted foliation  $\hat{F}$  is defined by a global submersion  $f: \hat{M} \rightarrow N$ . Thus the foliation  $\hat{F}_k$  of  $B^{k-1}(\hat{M}, G; \hat{F})$  is defined by the submersion  $f^k$

$$\begin{array}{ccc} B^{k-1}(\hat{M}, G; \hat{F}) & \xrightarrow{f^k} & B^{k-1}(N, G) \\ \downarrow & & \downarrow \\ \hat{M} & \xrightarrow{f} & N \end{array}$$

The space  $B^{k-1}(\hat{M}, G; \hat{F})$  is a cover space of  $B^{k-1}(M, G; F)$  and the foliation  $\hat{F}_k$  is the lifted foliation of  $F_k$ . The foliation  $\hat{F}_k$  has closed leaves and is transversely parallelisable by its global infinitesimal automorphisms, since the foliation  $F_k$  is. These vector fields are complete as well. By Lemma 1 of [7]  $B^{k-1}(\hat{M}, G; \hat{F})$  is a locally trivial fibre bundle over the Hausdorff manifold  $B^{k-1}(\hat{M}, G; \hat{F})/\hat{F}_k$ . Thus the leaves of the foliation  $F$  have a common universal covering space.

Since the transverse parallelism of  $\hat{F}_k$  is by infinitesimal automorphisms, it projects to a complete parallelism of  $B^{k-1}(\hat{M}, G; \hat{F})/\hat{F}_k$  and is mapped by the induced mapping  $\vec{f}_k$  onto the parallelism of  $B^{k-1}(N, G)$ . On each manifold  $B^{k-1}(N, G)$  and  $B^{k-1}(\hat{M}, G; \hat{F})/\hat{F}_k$  we define a connection which preserves the parallelism. Applying Theorem 3 of [6] we obtain that the mapping  $\vec{f}_k: B^{k-1}(\hat{M}, G; \hat{F})/\hat{F}_k \rightarrow B^{k-1}(N, G)$  is a covering mapping. Therefore we have the following commutative diagram

$$\begin{array}{ccccc} B^{k-1}(\hat{M}, G; \hat{F}) & \longrightarrow & B^{k-1}(\hat{M}, G; \hat{F})/\hat{F}_k & \xrightarrow{\vec{f}_k} & B^{k-1}(N, G) \\ \downarrow \pi & & \downarrow \pi & & \downarrow \pi_0 \\ \hat{M} & \longrightarrow & \hat{M}/\hat{F} & \xrightarrow{\hat{f}} & N \end{array}$$

where  $\hat{M}/\hat{F}$  is a  $T_1$  manifold according to Palais [9]. Hence the mapping  $\hat{f}$  is a local homeomorphism. The next step is to show that  $\hat{f}$  is a covering. To prove this we need only to show that  $\hat{f}$  has the property of lifting of curves. Since  $B^{k-1}(N, G) \rightarrow N$  is a principal fibre bundle, for any curve  $\gamma$  in  $N$  there is a horizontal lift  $\vec{\gamma}$  of the curve  $\gamma$  to  $B^{k-1}(N, G)$ . Because  $\vec{f}_k$  is a covering mapping, the curve  $\vec{\gamma}$  can be lifted to a curve  $\vec{\gamma}$  in  $B^{k-1}(\hat{M}, G; \hat{F})/\hat{F}_k$ . Thus

the curve  $\bar{\pi}\bar{\gamma}$  is a lift of  $\gamma$  to  $\hat{M}/\hat{F}$ . The choice of a point  $y$  in  $\hat{f}^{-1}(\gamma(0))$  forces the following choices in the two liftings executed:  $\bar{\pi}^{-1}(y) \ni \bar{y}$  and  $\bar{f}_k(\bar{y}) \in \pi_0^{-1}(\gamma(0))$ . Therefore the manifold  $\hat{M}/\hat{F}$  is a Hausdorff manifold. We denote the manifold  $\hat{M}/\hat{F}$  by  $\hat{N}$ .

Since the mapping  $\hat{f}$  is a covering mapping, we can lift the pseudogroup  $\Gamma$  to  $\hat{N}$  – denote the lifted pseudogroup by  $\hat{\Gamma}$ . If the pseudogroup  $\Gamma$  has the property  $E_k$ , then the lifted pseudogroup has this property as well. The foliation  $F$  can be considered as a  $\hat{\Gamma}$ -foliation.

Let us choose a point  $x_0$  of the manifold  $M$ . Any loop at  $x_0$  defines an element of  $\hat{\Gamma}(\hat{N})$  in the following way. The loop  $\gamma$  can be covered by a finite number of sets  $U_{i_1}, \dots, U_{i_s}$ ,  $U_{i_1} = U_{i_s}$ . We can choose a sequence of numbers  $t_i \in [0, 1]$ ,  $i = 0, \dots, s$ , such that  $t_0 = 0$ ,  $\gamma(t_i) \in U_{i_i} \cap U_{i_{i+1}}$ ,  $i = 1, \dots, s-1$ ,  $t_s = 1$ . Then

$$f_{i_1}(\gamma(t_1)) = g_{i_1 i_2} f_{i_2}(\gamma(t_1)).$$

Because of the uniqueness of the extension of  $g_{i_1 i_2}$ , the choice of the global automorphisms  $g_{i_1 i_2}$  does not depend on a choice of  $t_1$ . Doing it step by step we get

$$f_{i_j}(\gamma(t_j)) = g_{i_j i_{j+1}} f_{i_{j+1}}(\gamma(t_j)).$$

Thus

$$g_{i_1 i_2} \cdots g_{i_{j-1} i_j} f_{i_j}(\gamma(t_j)) = g_{i_1 i_2} \cdots g_{i_j i_{j+1}} f_{i_{j+1}}(\gamma(t_j)),$$

and the curve  $\tilde{\gamma}: t \rightarrow (g_{i_1 i_2} \cdots g_{i_{j-1} i_j} f_{i_j})(\gamma(t))$ ,  $t \in [t_{j-1}, t_j]$ , is the lift of the curve  $\gamma$  to  $\hat{H}$  at  $(f_{i_1})(\gamma(0))$ . Therefore  $\tilde{\gamma}(1) = (g_{i_1 i_2} \cdots g_{i_s i_1} f_{i_1})(\gamma(1))$ . If  $\gamma'$  is a loop at  $x_0$  homotopic to  $\gamma$  we get

$$(g_{i'_1 i'_2} \cdots g_{i'_s i'_1})(f_{i_1}(x_0)) = (g_{i_1 i_2} \cdots g_{i_s i_1})(f_{i_1}(x_0)).$$

By Lemma 1,  $g_{i'_1 i'_2} \cdots g_{i'_s i'_1} = g_{i_1 i_2} \cdots g_{i_s i_1}$ . Thus the correspondence defined above induces a homomorphism  $h$  of the groups

$$h: \pi_1(M, x_0) \rightarrow \text{Aut}(B(\hat{N}, G))$$

which is defined up to conjugation.

If we denote the  $\text{im } h$  by  $K$ , using the standard argument (cf. [1], [3]) we can show that the space of leaves of the foliation  $F$  is homeomorphic to the space  $\hat{N}/K$ .

**COROLLARY.** *Let  $L$  be a leaf of the foliation  $F$ . Denote the corresponding orbit on  $\hat{N}$  by  $K_L$ . Then*

- (i)  $L$  is proper iff  $K_L$  is discrete,
- (ii)  $L$  is closed iff  $K_L$  is discrete and closed,
- (iii)  $L$  is dense iff  $K_L$  is dense.

The proof is straightforward.

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